

Report To:	Education & Communities Committee	Date:	12 March 2019		
Report By:	Corporate Director Education, Communities & Organisational Development	Report No:	EDUCOM/19/19/RB		
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Subject:	Update on The Glasgow City Region Improvement Collaborative Phase 2 Improvement Plan and the national interim evaluation of Regional Improvement Collaboratives				

1.0 PURPOSE

1.1 The purpose of this report is to update the Committee on progress to date with phase 2 of the Glasgow City Region Improvement Collaborative, known as the West Partnership, and to alert members to the recent national interim evaluation of Regional Improvement Collaboratives (RICs).

2.0 SUMMARY

- 2.1 The Glasgow City Region Education Improvement Collaborative (known as the West Partnership) is made up of the following local authorities: East Dunbartonshire, East Renfrewshire, Glasgow, Inverclyde, North Lanarkshire, Renfrewshire, South Lanarkshire and West Dunbartonshire. The improvement plan, and associated actions, is also overseen by the existing governance arrangements for each local authority. The West Partnership is overseen by the Glasgow City Region Cabinet made up of the Education Conveners (or appropriate substitute) from each member authority. The Cabinet meets on a quarterly basis. The Phase 2 Plan (Appendix 1) was submitted to the Scottish Government in September 2018.
- 2.2 The phase 2 plan focuses on Excellence, Equity and Empowerment and is supported by the following workstreams, each of which is chaired by a Director or Head of Service from each authority:
 - Collaborative Learning Networks
 - Empowerment
 - Career Long Professional Learning
 - Curricular/Specialist Networks
 - Curriculum Design
 - Leadership Succession Planning
 - Systems Improvement
 - Families and Communities
 - Evaluating and Reporting
- 2.3 Inverclyde is well represented in all workstreams and schools and central officers have had opportunities to collaborate and to receive joint training through the RIC.
- 2.4 As work progresses, it will become increasingly important to evaluate the impact of the plan. Whilst improvements will be down to a variety of factors and each authority will have its own improvement plan, a set of critical indicators has been developed to help to show impact. Because data sharing across the authorities is still at a very early stage, this process will evolve as more data becomes available and trends can be observed.

2.5 A national interim evaluation of the RICs was published in February 2019 after wide stakeholder engagement. The evaluation notes that although progress has been made, often at pace, with the RICS, it is still very early days to show impact.

3.0 **RECOMMENDATIONS**

3.1 The Committee is asked to note the progress of Phase 2 Improvement Plan of the West Partnership and to note the interim national evaluation.

Ruth Binks Corporate Director Education, Communities & Organisational Development

4.0 BACKGROUND

- 4.1 The 'Education Governance: Next Steps' paper focused on the empowerment of teachers, parents and communities to deliver excellence and equity for all learners and recommends the establishment of new Regional Improvement Collaboratives (RICs). The paper stated that RICs would
 - provide excellent educational improvement support for head teachers, teachers and practitioners through dedicated teams of professionals. These teams will draw on Education Scotland staff, local authority staff and others;
 - provide coherent focus across all partners through delivery of an annual regional plan and associated work programme aligned with the National Improvement Framework;
 - facilitate collaborative working across the region, including sharing best practice, supporting collaborative networks and pursuing partnership approaches; and
 - be led by a Regional Director, to be appointed by the Scottish Government and to report to the HM Chief Inspector/Chief Executive of Education Scotland.
- 4.2 At the meeting of the Education and Communities Committee in September 2017, the Committee approved a report by Glasgow City Region Cabinet which set out how, through working as a Collaborative, the 8 local authorities will raise attainment and achievement; how they will maintain local democratic accountability; contribute to the growth of the regional economic strategy and the national thrust for excellence and equity, drawing on the expertise and strengths of each of the partners to bring about improvement for all. The evaluation of the phase 1 plan by Education Scotland identified many strengths and suggestions for consideration for phase 2.
- 4.3 The first improvement plan focused on three main themes: Improvement, Learner Journey early Learning and Childcare. After an extensive consultation process with Head Teachers throughout the region 85% agreed that the plan focused on the right areas. Notably, through consultation, a decision has been taken to reconfigure the themes, absorbing Early Learning and Childcare (ELC) into the other themes.
- 4.4 Since the initial plan, the West Partnership Board has developed its purpose and vision and the phase 2 plan was submitted to the Scottish Government in September 2018. Whilst the phase 1 plans were only evaluated by Education Scotland, the phase 2 plans were reviewed at a national level by peer RIC leads and Education Scotland. This allowed for a more collegiate approach whilst retaining rigour.
- 4.5 An interim evaluation of RICs was published at the beginning of February 2019 (Appendix 1).

5.0 Current Position

- 5.1 The workstreams for the phase 2 plan include:
 - Collaborative Learning Networks
 - Empowerment
 - Career Long Professional Learning
 - Curricular/Specialist Networks
 - Curriculum Design
 - Leadership Succession Planning
 - Systems Improvement
 - Families and Communities
 - Evaluating and Reporting

The lead for each is workstream is a Director or Chief Education Officer from one of the member authorities. The steering group has representatives from each authority which are predominantly Heads of Education or central support officers. The full list of membership of the groups is outlined in the plan (Appendix 2). Inverclyde is represented on each group. A senior partnership officer, seconded from Education Scotland, has been appointed to support the RIC.

This role will now chair the evaluation and reporting workstream as the work of this group collates and evaluates the work of all the other workstreams. This means that the Corporate Director for Inverclyde now chairs one rather than two workstreams.

- 5.2 The Scottish Government has allocated resources to the RIC with the allocation for academic year 2018/19 of £759,323 for additional resource requirements.
- 5.3 Inverclyde has been involved in, or will be involved in, a number of formal and informal collaborative activities with the West Partnership. These are:
 - 2 Primary Maths Conferences
 - Cross-Authority moderation events to share standards about the achievement of a level
 - Further roll out of joint capacity building for Quality Assurance with Renfrewshire Council. Initial training took place in September 2018 in Renfrewshire and a further event was held in Inverclyde in February 2019.
 - Family Learning Conversation Day this event was held in January 2019 in association with Education Scotland. The event gave over 80 practitioners from a wide variety of disciplines the opportunity to discuss involving parents/carers in improvement planning, STEM and family learning.
 - Presentations to Head Teachers in every authority on collaborative working. These were devised by Chris Chapman from Glasgow University.
 - Two conferences will be held in March. As well as attendance by the Deputy First Minister, the conferences will provide workshops for each of the Workstreams.
 - Data sharing and collaboration. Work is being undertaken to agree a data sharing agreement between the authorities so that BGE data can be interrogated for impact and trends. Evaluation of impact will become increasingly important as the collaborative progresses. High level indicators have been developed which will allow the collaborative to report on the impact of its work.
- 5.4 Education Scotland and the Scottish Government undertook to evaluate the work of the Regional Improvement Collaboratives and engaged with regional and national stakeholders as well as schools and early years' settings directly to undertake an interim review. This review was published at the beginning of February 2019 and is attached as Appendix 1. https://www.gov.scot/publications/regional-improvement-collaboratives-rics-interim-review/pages/10/.)
- 5.5 The report concludes the following:

Overall, stakeholders felt that RICs were in their early days of operation, and that the timetable for setting up structures and developing plans had been tight. Stakeholders also felt that it was important to recognise that phase one RIC activity has largely been taken forward without additional resources. The availability of resources to support phase two plans was welcomed.

Regional and national stakeholders were broadly content with the governance arrangements established for RICs. They felt that structures had been set up in a way which suited each region and recognised and linked with local authority decision-making structures.

Some national stakeholders felt that it was a real achievement to have all local authorities signed up to the RICs, with appropriate structures and early plans in place.

The key factors felt to enable successful governance included buy-in from senior officers and elected members; clear links between partners, schools and elected members; and a clear focus on overall intended outcomes.

In most cases, regional and national stakeholders believed that there was a shared vision and aims for the RIC at senior officer level within participating authorities. However, a few regional stakeholders were unsure about the rationale of the RIC concept, and there was some lack of clarity about the concept of additionality and what it meant in practice.

Overall, regional and national stakeholders felt that it was a significant achievement to have

produced phase one RIC plans within the timescales. Planning processes were felt to work well where plans were informed by data and research; each partner had a clear understanding of their priorities; and there was a focus on enhancing rather than duplicating activity.

Regional, national and school level stakeholders all felt that RIC plans were well connected to national priorities. However, regional and national stakeholders felt there was more work to do on connecting the plans with school priorities. The schools involved in the research largely felt positive about the connection between schools, local, regional and national priorities and plans.

Most regional and national stakeholders felt that more needed to be done to develop ways of meaningfully measuring progress, including strengthening the sharing, collating and analysis of data across the region. Regional, national and school level stakeholders all felt that more work needed to be done to engage stakeholders and schools more widely, raising awareness and involving them in planning and participating in RIC activity. Engagement with schools and development of the offer to schools were key priorities for phase two RIC activity. However, most felt strongly that the main initial point of contact and support for schools should continue to be the local authority, with a need for clarity about the role of the RIC and how it fits with and complements existing support.

Regional stakeholders felt that the support offered by regional advisors was good and helpful. Regional stakeholders were interested to see how the regional offer of support from Education Scotland would develop in the future. While the availability of resources to support phase two of RIC activity was welcomed, most regional stakeholders felt that the early phases of RIC development were challenged by limited resources and tight timescales. Most regional stakeholders felt the approach by the Scottish Government felt top-down, which was hard to reconcile with the local, bottom-up approach required for RICs.

So far, regional stakeholders believe that the RICs have encouraged joint working between officers in different local authority areas. RICs have also tested approaches to engaging with and supporting schools, often through small scale tests of change and targeted work with schools across different workstreams. All stakeholders indicated that it would take time to see an impact. However, some school staff gave very positive early examples of sharing best practice, skills development and influencing practice around areas of leadership, self-evaluation, moderation of assessment, improvement methodologies, parental engagement, maths, early literacy and equality.

Overall, school staff were very positive about the idea of learning from one another across the region, and welcomed opportunities for networking, building skills and developing their practice.

6.0 IMPLICATIONS

6.1 Finance

Additional finance is being sourced from the Scottish Government.

Financial Implications:

One off Costs

Cost Centre	•	Budget Years	Proposed Spend this Report £000	Virement From	Other Comments
N/A					

Annually Recurring Costs/ (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact £000	Virement From (If Applicable)	Other Comments
N/A					

6.2 Legal

N/A.

6.3 Human Resources

N/A.

6.4 Equalities

Has an Equality Impact Assessment been carried out?



See attached appendix



This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required.

6.5 Repopulation

N/A.

7.0 CONSULTATIONS

7.1 N/A.

8.0 CONCLUSIONS

8.1 It is expected that the Improvement Plan will be updated annually. Updates will be shared with the Education and Communities Committee accordingly.

9.0 BACKGROUND PAPERS

9.1 Education and Communities Committee Report – September 2017 Education and Communities Committee report – March 2018 Education and Communities Committee Report – September 2018



APPENDIX 1

Regional Improvement Collaboratives (RICs): Interim Review



CHILDREN, EDUCATION AND SKILLS



Regional Improvement Collaboratives (RICs) Interim Review

November 2018

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Appendix One: Links to RIC Plans

Acknowledgements

We would like to thank all the schools and regional and national stakeholders who took part in our research. The research would not have been possible without your support. We understand that your time is extremely valuable and are very grateful for all the help received.

List of acronyms

- ADES Association of Directors of Education in Scotland
- ASN Additional Support Needs
- COSLA Convention of Scottish Local Authorities
- SOLACE Society of Local Authority Chief Executives
- NHS National Health Service
- NIF National Improvement Framework
- PEF Pupil Equity Funding
- RIC Regional Improvement Collaborative
- QIO Quality Improvement Officer

Executive Summary

About this research

This report sets out findings of an interim review of Regional Improvement Collaboratives (RICs). The overall aim of this review was to explore how RIC establishment had been taken forward in each region.

RICs bring local authorities together, alongside Education Scotland, to secure excellence and equity in education. They facilitate collaborative working across the region, developing different ways of working together to build excellence and equity in the Scottish education system.

This review took place in mid to late 2018, nine months after the RICs were jointly announced in October 2017 and six months after they produced their initial improvement plans. The review therefore covers 'phase one' of RIC development and planning. The research will inform and support further development of the RICs and provides a baseline for future review.

Method

This qualitative research involved exploration of people's experiences and views. It involved in-depth discussions with:

- regional stakeholders including all six regional leads for RICs, all six Education Scotland regional advisors, and 12 wider regional stakeholders such as colleges, universities, parents, Directors of Education and elected members;
- national stakeholders including COSLA, ADES, SOLACE, Education Scotland and Scottish Government; and
- school staff including 39 headteachers and 8 other teachers (largely principal teachers) at 42 schools across Scotland.

It is important to note that the schools involved in this research were selected because they had some involvement in phase one RIC activity. Regional leads were asked to identify schools which had been involved, and schools were then independently sampled from these lists. This report therefore reflects the experiences of the schools most involved in RICs.

Key findings

Overall, stakeholders felt that RICs were in their early days of operation, and that the timetable for setting up structures and developing plans had been tight. Stakeholders also felt it was important to recognise that phase one RIC activity has largely been taken forward without additional resources. The availability of resources to support phase two plans was welcomed.

Governance and planning

Regional and national stakeholders were broadly content with the governance arrangements established for RICs. They felt that arrangements had been set up in a way which suited each region, and which recognised and linked with local authority decision making structures.

Some national stakeholders felt that it was a real achievement to have all local authorities signed up to the RICs, with appropriate structures and early plans in place.

The key factors felt to enable successful governance included:

- buy-in from senior officers and elected members;
- clear links between partners, schools and elected members; and
- a clear focus on overall intended outcomes.

In most cases, regional and national stakeholders believed that there was a shared vision and aims for the RIC at senior officer level within participating authorities. However, a few regional stakeholders were unsure about the rationale of the RIC concept, and there was some lack of clarity about the concept of additionality and what it meant in practice.

Overall, regional and national stakeholders felt that it was a significant achievement to have produced phase one RIC plans within the timescales. Planning processes were felt to work well where:

- plans were informed by data and research;
- each partner had a clear understanding of their priorities; and
- there was a focus on enhancing rather than duplicating activity.

Regional, national and school level stakeholders all felt that RIC plans were well connected to national priorities. However, regional and national stakeholders felt there was more work to do on connecting the plans with school priorities. The schools involved in the research largely felt positive about the connection between school, local, regional and national priorities and plans.

Most regional and national stakeholders felt that more needed to be done to develop ways of meaningfully measuring progress, including strengthening the sharing, collating and analysis of data across the region.

Stakeholder engagement

Regional, national and school level stakeholders all felt that more work needed to be done to engage stakeholders and schools more widely, raising awareness and involving them in planning and participating in RIC activity. Engagement with schools and development of the offer to schools were key priorities for phase two RIC activity. However, most felt strongly that the main initial point of contact and support for schools should continue to be the local authority, with a need for clarity about the role of the RIC and how it fits with and complements existing support.

Support and joint working

Regional stakeholders felt that the support offered by regional advisors was good and helpful. Regional stakeholders were interested to see how the regional offer of support from Education Scotland would develop in the future.

While the availability of resources to support phase two of RIC activity was welcomed, most regional stakeholders felt that the early phases of RIC development were challenged by limited resources and tight timescales. Most regional stakeholders thought the approach by Scottish Government felt top-down, which was hard to reconcile with the local, bottom-up approach required for RICs.

Impact

So far, regional stakeholders believe that the RICs have encouraged joint working between officers in different local authority areas. RICs have also tested approaches to engaging with and supporting schools, often through small scale tests of change and targeted work with schools across different workstreams.

All stakeholders indicated that it would take time to see an impact. However, some school staff gave very positive early examples of sharing best practice, skills development and influencing practice around areas of leadership, self-evaluation, moderation of assessment, improvement methodologies, parental engagement, maths, early literacy and equality.

Overall, school staff were very positive about the idea of learning from one another across the region, and welcomed opportunities for networking, building skills and developing their practice.

1. Introduction

About this research

1.1 This report sets out findings of an interim review of Regional Improvement Collaboratives (RICs).

Research aims

- 1.2 This review took place in mid to late 2018, six months after RICs were established at the end of January 2018. The overall aim of this review was to explore how RIC establishment had been taken forward in each RIC area.
- 1.3 The key areas of focus were:
 - governance arrangements;
 - the process which supported the development of initial RIC plans;
 - evidence of initial use and sharing of data to support RIC planning;
 - stakeholder engagement;
 - use of improvement methodologies; and
 - evidence of forward plans.
- 1.4 The research will inform and support further development, inform wider stakeholders of progress to date, and establish a baseline for future review.

Research context

- 1.5 RICs bring local authorities together to secure excellence and equity in education. They are intended to:
 - provide educational improvement support to practitioners through dedicated teams of professionals – drawing on Education Scotland staff, local authority staff and others;
 - provide focus across all partners through a regional plan and work programme aligned to the National Improvement Framework; and
 - facilitate collaborative working across the region.
- 1.6 RICs are not intended to be formal bodies within the education system. They are intended to bring together local authorities and Education Scotland to develop different ways of working, bring together capacity across a region and add value through collective efforts¹.

¹ RICs for Education: Report of the Joint Steering Group, September 2017

- 1.7 There are six RICs, involving between three and eight local authorities. They are:
 - Forth Valley and West Lothian Collaborative involving Clackmannanshire, Falkirk, Stirling and West Lothian Councils;
 - Northern Alliance involving Aberdeen City, Aberdeenshire, Argyll and Bute, Comhairle nan Eilean Siar, Highland, Moray, Orkney and Shetland Islands Councils;
 - **South East Collaborative** involving Edinburgh City, East Lothian, Fife, Midlothian and Scottish Borders Councils;
 - **South West Collaborative** involving East Ayrshire, North Ayrshire, South Ayrshire and Dumfries and Galloway Councils;
 - **Tayside Collaborative** involving Angus, Dundee City and Perth and Kinross Councils; and
 - West Partnership involving East Dunbartonshire, East Renfrewshire, Glasgow City, Inverclyde, North Lanarkshire, Renfrewshire, South Lanarkshire and West Dunbartonshire Councils.
- 1.8 The RICs were established following the Scottish Government's consultation on education governance and reform, during 2016 and 2017. In June 2017, the Scottish Government published 'Education Governance – Next Steps' setting out its vision of an education system centred around children and young people, with decisions taken as close to them as possible. As part of this, Next Steps set out the aim of establishing RICs to provide excellent educational improvement support for headteachers, teachers and practitioners through dedicated teams of professionals.
- 1.9 A joint steering group was set up to develop proposals for RICs, based on the policy direction outlined within Next Steps. The joint steering group involved Scottish Government, local government including COSLA, SOLACE and ADES and Education Scotland. The first task of the steering group was to develop options for the role and responsibilities of RICs. This included considering guiding principles, functions, leadership, staffing, geography, accountability and measures of success.
- 1.10 The steering group worked on these issues in summer 2017, and produced a report setting out its interim conclusions in September 2017². This report highlights the important of increased collaboration. It emphasises that RIC development is not about establishing a new formal body, but about developing different ways of working, bringing together capacity from across an area and beyond, to add value through collective efforts.

² <u>https://www.gov.scot/binaries/content/documents/govscot/publications/foi-eir-release/2018/03/foi-18-00582/documents/9bb14df1-5a00-4daf-8432-7216cc60c167/9bb14df1-5a00-4daf-8432-7216cc60c167/9bb14df1-5a00-4daf-8432-7216cc60c167/govscot%3Adocument</u>

- 1.11 Scottish Government and COSLA entered into a partnership agreement to establish these new RICs for education, regional improvement leads were appointed, and initial regional improvement plans were required to be submitted for each RIC by the end of January 2018. This is the date from which RICs can be considered to be operational.
- 1.12 In June 2018, Scottish Government and COSLA entered into a further agreement to continue to support school empowerment and collaboration as well as parental involvement and pupil participation. At the same time a package of support was announced, including £10 million to enhance regional capacity to support schools through the RICs and Education Scotland working together. The agreement highlighted that Education Scotland would further develop its core offer of support to RICs and to schools over 2018/19, and will inspect school empowerment as part of its inspection process.
- 1.13 RICs have developed in two broad phases. 'Phase One', to which this review relates, covers the initial establishment of the RICs from October 2017 to August 2018. 'Phase Two' refers to the period following submission of RIC plans for the 2018/19 school year, in September 2018.
- 1.14 At the time of the fieldwork for this report, RICs were in the process of developing their phase two regional improvement plans and developing their bids to access this regional funding to support RICs.

Method

1.15 This interim review involved five key phases:

Desktop review

1.16 We undertook a brief desktop review, to inform the fieldwork. This involved a review of national guidance, regional improvement plans, information about the profile of each region, and other relevant information. The main purpose of the desktop work was to set the context for the interim review and to inform the development of the research tools.

Regional level fieldwork

- 1.17 We held telephone interviews with the regional lead and Education Scotland regional advisor for each RIC. We also held telephone interviews with a small number of wider regional stakeholders in each RIC – including Directors of Education, partners such as health, police or further education, elected members and parents. Each regional lead was asked to identify two wider stakeholders who would be able to reflect on the process of establishing the RIC over the first six months. A total of 12 interviews were held with wider stakeholders.
- 1.18 To preserve anonymity, the interviews with RIC leads, advisors and wider stakeholders are all tagged as 'regional stakeholders' within quotes.

School level fieldwork

- 1.19 We held telephone interviews with 47 headteachers and teachers, at 42 different schools involved in RICs. This stage focused on gathering the views of schools who had been involved in the RIC and were able to reflect on the process of development and experiences of involvement to date.
- 1.20 Recognising the early stage of RIC development, we worked closely with RIC regional leads and Directors of Education within each RIC to identify a list of schools which had been involved in the RIC. We then independently selected schools from this list and agreed this with each RIC. In some RIC areas, the list provided of schools which had been involved in the RIC to date was relatively short.
- 1.21 The telephone interviews lasted 30 minutes. The discussion guide was adapted depending on how the member of staff had been involved in the RIC for example through driving or participating in a particular workstream, attending an event, or assisting with RIC development and decision making.
- 1.22 We agreed the number of interviews to be held within each RIC with the Advisory Group for the research. This involved considering how many interviews would be held in each RIC area if an equal split was used, and then adjusting this to reflect the number of local authorities, schools and pupils within each RIC area.
- 1.23 The review involved interviews with 39 headteachers and 8 other members of staff including two depute headteachers, five principal teachers and one class teacher. Initially we began with a broad target of holding 70 per cent of the interviews with headteachers, and 30 per cent with other members of staff. However, interviews with RIC leads made clear that most of the engagement to date had been with headteachers. This was confirmed by the headteachers involved in the research. As a result, in order to gather a range of perspectives beyond headteachers, we held five supplementary interviews at schools where headteachers identified other members of staff who had been particularly involved in RIC activity.

1.24 The final profile of schools involved in each RIC area was:

RIC	Core interviews	Supplementary	Total
Forth Valley and West Lothian Collaborative	5		5
Northern Alliance	10	3	13
South East Alliance	7		7
South West Collaborative	5	1	6
Tayside Collaborative	5	1	6
West Partnership	10		10
Total	42	5	47

1.25 We carried out interviews with school staff in 23 primary schools, 19 secondary schools, one special school, one mixed campus and three early years centres.

National level fieldwork

1.26 We held face to face interviews with:

- Scottish Government three members of staff;
- COSLA one interviewee;
- SOLACE one interviewee;
- ADES two interviewees; and
- Education Scotland two members of staff.
- 1.27 These interviews lasted approximately 60 minutes and explored views on RIC governance, planning, joint working, use of data, stakeholder engagement and future plans.

Reporting and analysis

- 1.28 This report sets out the key themes under each of the main areas explored within the review. Where appropriate, quotes or examples are used to help to illustrate points.
- 1.29 Notes from discussions were analysed using a system of manual thematic coding, which involves a researcher carefully reading responses to each interview question and coding key themes emerging. The researcher also reads the interview as a whole to ensure that responses are understood in context.

- 1.30 In analysing research participant views, we explored any key variances of view between respondent groupings, including primary and secondary schools; teachers in different roles; and between different authorities. Where differences of view have emerged by respondent grouping, we have highlighted this within the report.
- 1.31 Importantly, views are reported completely anonymously. Quotes are tagged broadly, to provide an idea of the type of stakeholder commenting. Comments have been reported carefully to reduce ability to identify the RIC area being discussed.
- 1.32 Within the report, we used a broad qualitative scale to describe the proportion of people who commented on particular themes and topics:
 - one/ an individual a point raised by just one person;
 - a few just two or three people;
 - some less than half of respondents in that category;
 - many more than half of respondents in that category; and
 - most/ almost all a very high proportion of respondents in that category.
- 1.33 When summarising survey findings within bulleted lists, the points are listed broadly in order of frequency mentioned.

Note on this report

1.34 It is important to bear in mind that this is an early, baseline review of the RICs. The work of the RICs was at very early stages at the time of the fieldwork for this report. In undertaking fieldwork for this evaluation, a number of stakeholders indicated that they felt it was too early for this type of review to take place. Findings should be interpreted in this context.

2. Setting up the RICs

Key findings

RICs were required to be set up within a relatively short timescale – between November 2017 and January 2018.

In most areas the process of identifying regional leads was felt to be relatively simple. RIC leads often held very senior positions with wide ranging responsibilities within their own local authorities, in addition to the RIC lead role. Where there was a full time, dedicated RIC lead – with support staff – stakeholders felt this helped to drive RIC development and support activity.

The structures established by RICs were varied. Most regional stakeholders were content with governance arrangements, which they felt had evolved in a natural way for their area. The key factors felt to enable successful governance included: buy-in from senior officers and elected members; clear links between partners, schools and elected members; and a clear focus on overall intended outcomes. However, there were challenges bringing senior, busy people together within tight timescales, and some varied views on the value and role of RICs and how they fit with the Scottish education system.

Many school staff indicated that they were not really involved in the set-up of the RIC, and that the timescales for establishment meant this was challenging. However, some felt that even in short timescales there had been good opportunities for schools to be involved.

Overall, the guidance on establishing RICs and developing RIC plans was felt to be helpful in setting the framework and principles for RIC development. However, there were concerns that the guidance came a bit late, was too prescriptive and created tensions between a top down and bottom up approach to RIC set up and planning.

There was concern that in most cases, during the early stages of RIC development, there had not been additional resources available from the Scottish Government. Where available, additional resources were felt to be very useful to kick start activity, allow for secondments, allow for dedicated time on RIC activity, enable cover and backfill and contribute to travel costs. The availability of resources to support phase two plans was welcomed.

Introduction

- 2.1 This chapter explores the process of setting up the RICs. It explores:
 - identification of regional leads;
 - views on RIC structures and governance;
 - views on guidance; and
 - views on resources.
- 2.2 This chapter draws mainly on the views of regional and national stakeholders.

Identifying regional leads

- 2.3 An early task for each RIC was to identify a regional lead. In most areas, the process of identifying regional leads was felt to be relatively simple. However, in two areas the process was felt to be challenging, due to restructuring and a high level of change within senior level roles in the participating local authorities. A few found it difficult because they felt the thinking changed around who would appoint RIC leads along the way.
- 2.4 Where there had been an interview, some felt that the process worked well but others were unsure of the value of an interview. Some felt the role came about naturally, and that an interview process could have put off people from volunteering for the role.
- 2.5 The way in which RIC leads took on the role varied. For example, in one area there was a full-time secondment to the RIC lead role. This was felt to be very helpful, giving the role the status, drive and emphasis, it needed. In other areas, the RIC lead role was taken on in addition to existing responsibilities. RIC leads often held very senior positions with wide ranging responsibilities within their own local authorities, in addition to the RIC lead role.
- 2.6 RIC leads also had varying levels of support to drive the RIC locally. For example, in a few areas a support officer had been appointed, which RIC leads felt was extremely useful.

"The policy officer post is a vital role and is likely to grow as activity ramps up over time."

Regional stakeholder

2.7 In other areas there was no dedicated RIC support role. In two areas, RIC leads had allocated part time support from within their own team, or simply made use of the resources within their own team as needed – in addition to their existing responsibilities.

"Anyone who participates does so in addition to their own job, and within the existing resource."

Regional stakeholder

- 2.8 At the time of this fieldwork, some RICs were planning to appoint dedicated staff, or had just done so for the 2018/19 academic year. There was a feeling that as RIC activity increased, there would be more need for administrative support.
- 2.9 RICs also had access to regional advisors, through Education Scotland. Views on this support are explored in Chapter Three.
- 2.10 The main activities which RIC leads had been involved in included:
 - governance including establishing governance arrangements, gaining political sign off, updating local authority Chief Executives, brokering resources and reporting on progress;
 - planning developing RIC plans, setting priorities, setting agendas for meetings and keeping workstreams going;
 - relationships brokering relationships, facilitating officers participating in RIC activity, building a culture of collaboration, and motivating and galvanising teams;
 - connections making links between local, regional and national stakeholders and priorities;
 - information being the public face of the RIC, supporting analysis of information and disseminating information among stakeholders; and
 - events co-ordinating groups, events, conferences and development sessions.
- 2.11 Essentially, RIC leads indicated that they were responsible for making the RIC happen, and led all activity around the RIC. Some, in areas where the partnership between authorities was relatively new, had spent a lot of time building relationships in the early phases of the RIC.
- 2.12 It was expected that activity would move forward to focus on engaging schools and other key stakeholders more over phase two of the RIC's work.

RIC structures and governance

- 2.13 RICs were required to be set up within a relatively short timescale between November 2017 and January 2018.
- 2.14 Regional stakeholders indicated that RIC structure and governance were largely led by senior officers and elected members. In some cases, local authorities were already working together in other ways, or had already been exploring options for collaboration. In other cases, RICs brought together local authorities which had not worked closely together in the past.

- 2.15 Overall, structures and governance options were largely "thrashed out" by senior officers through considering papers, attending away days or workshops, working with independent advisors, evolving existing arrangements, or tightening governance arrangements for existing partnerships.
- 2.16 Some indicated that they did some consultation with headteachers, but that the timescales for establishing RICs did not enable in-depth involvement of schools and other partners.

"Given the timescale, the approach was mainly top down."

Regional stakeholder

"The process of setting up the RIC was as good as it could have been given the timescales."

Regional stakeholder

- 2.17 The structures established by RICs were varied. Generally, structures involved a mix of political oversight (involving elected members); high level forums or boards (often involving Directors of Education and RIC leads); groups including wider stakeholders (including headteachers and external partners such as Education Scotland, the Care Inspectorate or other public sector agencies); and workstream groups (involving workstream leads and key deliverers).
- 2.18 Most regional stakeholders were content with governance arrangements. The key factors which were felt to enable successful governance were:
 - buy-in from senior officers and elected members as this was felt to help bring other people together in an agreed approach;
 - mechanisms which build links and connections between elected members, partners, workstream leads and schools;
 - linking with local democratic political processes which needed to be followed for decision making;
 - focusing on the overall intended outcomes, and the benefit of the RIC to young people and schools;
 - time out to consider governance arrangements for example through away days – and independent facilitation by external partners;
 - RIC geographies fitting well with other regional boundaries helping to enable natural partnership working;
 - pooling resources for support posts to lead and support the RIC; and
 - informal, flexible governance arrangements which develop and evolve over time.

"Things have grown in a natural way that is comfortable for people." Regional stakeholder "The governance structure works well. It is not too bureaucratic."

Regional stakeholder

2.19 National stakeholders were also broadly happy with governance arrangements, and pleased that appropriate arrangements had been put in place in every region.

"Governance must be light touch. Each local authority already has its own governance arrangements."

National stakeholder

"At a political level, it was a significant achievement that the 32 local authorities actually signed up for RICs."

National stakeholder

2.20 There were some challenges to establishing RIC structures and governance. A key challenge for RIC leads was getting senior, very busy people together. For some this was particularly hard as they were leading but without line managing staff.

"There was an initial challenge of getting people in the right place. When people got together it was great, but this was not always possible." Regional stakeholder

- 2.21 The timescales for establishing the RICs were also felt to be very tight, with some concern that the timescales were being driven by a political timetable.
- 2.22 Other key challenges included:
 - changes at senior level within local authorities meaning that work had to be done on building relationships, establishing ethos and joint working arrangements;
 - varied views on the value and role of RICs particularly when local authorities within the RIC were led by different political parties;
 - challenges addressing nervousness that RICs would take the education function away from local authorities – particularly in the context of a wider education reform agenda in Scotland; and
 - sharing workloads fairly between authorities, particularly when authorities were of very different sizes.

"We had to work hard to get buy-in from all leaders, as there were complex party-political issues to manage..."

Regional stakeholder

"There is pressure on the smaller authorities with less resource."

Regional stakeholder

- 2.23 There were also varied views on lines of accountability for schools and headteachers. Most regional stakeholders felt that headteachers should still be ultimately accountable to the local authority for all matters. Some stressed that local authorities had the legislative responsibility for improving education in their authority. However, one regional stakeholder felt that headteachers needed to understand their responsibilities to the RIC, and ensure they were accountable to the RIC for work they are doing on RIC workstreams.
- 2.24 A few regional and national stakeholders felt that they were still working through how the RICs fit into the education system. In some areas, there were discussions about whether the RICs were collaborations or entities, and a few regional stakeholders felt that this discussion had not been resolved. A few national stakeholders were concerned that RICs could move beyond their remit, with some concerns that local authorities were being by-passed on education matters.

"Are these collaborations or are they entities?"

Regional stakeholder

"RICs are not an entity. We need to be careful that they don't become one." National stakeholder

Example: Agreeing the role of the RIC

In one area, there were different opinions on the role of the RIC, with Directors of Education having a "philosophical debate" about whether the RIC was an entity or a way of working. Some wanted to share staff, while others wanted to focus on enhanced collaboration in other ways.

School involvement in RIC set up

2.25 Many school staff indicated that they were not really involved in the set up of the RIC. Most were content with this and indicated that the process seemed positive and well organised.

"Headteachers are not interested in getting involved in the set up. We want to know how it will benefit our schools and our pupils."

Headteacher, primary

"The approach has been positive. They have tried to take people along with it."

Headteacher, primary

2.26 A few felt that while they were not involved, they received good information about the RIC journey, and felt well informed. A small number of headteachers involved in this research who had been involved in RIC boards said that this had been a positive experience.

2.27 A few headteachers indicated that RICs had evolved from previous joint working arrangements, which they felt was a positive starting point. However, a few in other areas indicated that RICs still seemed to be in planning stages, with little impact to date on schools. For example, one headteacher felt that the RIC was more a network of directors and did not yet involve schools. Another was a bit confused about the link between work to close the attainment gap (such as Attainment Advisors) and the RIC.

Guidance

2.28 Overall, the guidance on establishing RICs and developing RIC plans was felt to be helpful in setting the framework and principles for RIC development.

"The Strategic Group report was very clear, good and thorough. It provided a template of a functioning collaborative."

Regional stakeholder

- 2.29 However, there were concerns that the guidance:
 - came a bit late when RICs had already done work on governance and planning;
 - was too prescriptive with some aspects seen as "too instructional" or "a bit particular";
 - did not fully recognise the time required to achieve the changes it set out

 with a few feeling it did not reflect reality or was "developed in
 isolation from the real world"; and
 - created tensions between taking a bottom up and top down approach both due to timescales and need to align to a national agenda.

"The guidance was fine, to a point. It does become a bit inflexible. The plan can't contain everything in each phase."

Regional stakeholder

"If the policy intention is about a 'bottom-up' approach we are not there yet." National stakeholder

Resources

- 2.30 There was concern that in most cases, over the early stages of RIC development, there had not been additional resources available from the Scottish Government.
- 2.31 This created challenges as RIC activity had to be dictated by the capacity of local authorities. Some highlighted that budgets had shrunk, and some said that they had to make RIC plans when some of the posts they may draw on were being considered for potential cuts in the authority budget for 2018/19.

"The biggest barrier to success will be resources. All councils are currently struggling due to budget cuts."

Regional stakeholder

2.32 Regional and national stakeholders indicated that local authorities were largely unable to provide backfill or cover for people involved in RIC activity.

"We were being asked to change the way we were working, and do significant extra work at the same time as carrying on with existing work."

Regional stakeholder

"They have been constrained by the speed they have had to work at and the resources available to get things done."

National stakeholder

- 2.33 However, in some areas there were resources available. For example, in one area, the authorities agreed to contribute to the RIC lead role. And in another, the role was a full time secondment, funded through additional resources. In this instance, the additional resources were felt to be very useful to kick start activity, allow for secondments, allow for dedicated time on RIC activity, enable cover and backfill and contribute to travel costs.
- 2.34 Two national stakeholders felt that it was a weakness of the RIC model that almost everything had been done without additional funding.
- 2.35 The availability of resources to support phase two plans was welcomed. However, some regional and national stakeholders felt that RICs should not have to bid for resources. A few suggested funding should have been allocated on a 'formula' basis. Some would have preferred to know about the resources at an earlier stage, to enable them to reflect this in their phase two plans.

"The development of the phase two plan would have been helped massively if we knew resources would follow. The range, scope, ambition and pace of our plan would have been significantly different if we had known there could have been dedicated money to support officers for the RIC."

Regional stakeholder

3. RIC planning

Key findings: RIC planning

Overall, regional stakeholders believed that the initial RIC plans they had produced were as good as they could have been within the timescale. There was a strong feeling that the timescales for producing the plans were too tight. Two national stakeholders felt that it was a considerable achievement that the RICs had managed to develop plans and achieve political sign off.

Overall, stakeholders felt that the development of early RIC plans was led by local authority officials. Stakeholders found engagement with schools challenging within the timescales of their phase on planning – with regions large, hundreds of schools, and school holidays during the planning period. Most regional stakeholders indicated that they had focused on further involvement of stakeholders as part of phase two planning.

Most schools involved in this research said that they were not very involved in identifying priorities for the RIC. However, most felt clear about the priorities for their RIC and were positive that these were appropriate.

The process of identifying workstreams for the RIC plans was felt to work well where plans were informed by data and research; there was a clear understanding of what they needed to prioritise; and there was a focus on enhancing rather than duplicating activity. However, a few regional stakeholders had to think very hard about the concept of additionality and what this meant for the RIC, and would have welcomed more clarity on this.

Overall, schools, regional and national stakeholders felt that RIC plans were well connected to national priorities. While most school staff felt that RIC priorities were useful and relevant to the school, most regional and national stakeholders felt that plans were not so well connected to school priorities, due to the timescales.

The extent to which data had informed RIC plans varied. Most felt that they had used data, but in an "adequate" or "limited" way. This was because of the challenges around sharing, collating and analysing the data within the timeframe. Over time, most regional stakeholders felt that the RIC had helped them to share data and talk about the themes emerging across the region.

In some cases, the identification of baseline data was seen as a real strength, enabling the RIC to set clear, measurable indicators and targets for each workstream. However, most regional stakeholders indicated that more work was needed to develop a meaningful baseline and way of measuring progress against this.

Introduction

- 3.1 This chapter explores approaches to:
 - developing RIC plans;
 - identifying workstreams;
 - involving stakeholders in planning;
 - connecting to local, regional and national priorities;
 - using improvement methodologies; and
 - using and sharing data.
- 3.2 It draws mainly on experiences of regional and national stakeholders.
- 3.3 Links to each phase one RIC plan are included in Appendix One.

Developing a plan

- 3.4 Overall, regional stakeholders believed that the initial RIC plans they had produced were as good as they could have been within the timescale. Most were very used to developing plans, and confident about the approaches they took to establishing the plan for the RIC. Stakeholders felt that the planning process worked well where:
 - external support was available for example universities assisted with accessing and interpreting research, and some accessed expertise around improvement planning;
 - time was dedicated to joint planning for example away days and events involving senior officials and politicians across RIC authorities were found to be very useful, particularly when facilitated independently; and
 - authorities were strong in terms of their performance and understood well what would and wouldn't work in driving improvement.
- 3.5 However, there was a strong feeling that the timescales for producing the plans were too tight, and that deadlines came at the same time as other existing pressures and priorities. This was particularly challenging as some regional stakeholders also had to manage complex political tensions around the establishment of RICs. The process of developing plans involved a process of negotiation and compromise, exploring what partners were prepared to do.

"There were many hands that wanted to edit... In planning, you can be in danger of making things too complex."

Regional stakeholder

- 3.6 One national stakeholder felt that it was "a huge achievement" to achieve political sign off of the RIC plans, with another feeling that managing to develop plans had been "remarkable."
- 3.7 Some of those involved in the RIC were having to develop plans over the evenings, weekends and holidays to get it done. As they were committed to the overall aim of the RIC, this was not felt to be a major problem but it did mean they felt that there were some unrealistic expectations about the RIC plans, and a lack of recognition of the resource constraints.

"It was a really busy time for councils in terms of other submission deadlines. Adding the RIC plan process on top of this made it really hard."

Regional stakeholder

3.8 In particular, most felt that plans could not be informed by the views of a wide range of stakeholders, as it was not possible to undertake high quality engagement and consultation activity within the time available. A few highlighted that the RIC plan was iterative and developed over time.

Involvement in planning

- 3.9 Overall, stakeholders felt that the development of early RIC plans was led by local authority officials. This included the Director of Education and Chief Education Officers. In a minority of cases other partners such as NHS, police or employability colleagues were involved.
- 3.10 All were strengthening their approaches to engagement and consultation as part of their phase two plans. Over time, many RICs had worked to involve schools, colleges, universities, young people, parents and other wider stakeholders. Both regional and national stakeholders felt that universities and colleges could be more involved and could offer particular expertise and assistance in relation to improvement methodologies and research.
- 3.11 Stakeholders found engagement with schools challenging within the timescales of their phase on planning with regions large, hundreds of schools, and school holidays during the planning period.

"This was a particularly hard ask within the timescale."

National stakeholder

3.12 In some areas, headteachers were consulted, for example through a short survey. However, regional stakeholders would have liked to do more, as some felt that this largely focused on checking that the themes were correct, rather than more in-depth consultation. In some cases, teachers and other partners were informed of plans and direction of travel, but not formally given the chance to contribute.

"We are unsure of the extent that priorities have been driven by the need of local schools. The visibility is not there yet."

National stakeholder

Example: Strengthening involvement in planning for phase two

For the phase two plan, one area set up meetings with teachers and support staff. They analysed the available data in depth. This confirmed the priorities identified in phase one. Consultation with young people in this area also found that health and wellbeing was a key priority – and this is being added as a priority in the phase two plan. Further work is being done to involve young people in the other workstreams – for example they aim to develop a workstream on closing the attainment gap to be led by pupils.

3.13 Most schools involved in this research said that they were not very involved in identifying priorities for the RIC, due to the tight timescales.

"In my opinion it probably happened too quickly... They had to have a plan in place by a certain deadline. Is that the best way to go about developing something like this?"

Headteacher, secondary

3.14 However, some said that even in short timescales there had been good opportunities for schools to be involved – for example through online surveys or opportunities to comment on draft plans and priorities.

"It was a real opportunity for practitioners to be able to influence what was happening at RIC level."

Headteacher, primary

3.15 Whether involved in the process or not, most school staff felt clear about the priorities for their RIC and were positive that these were appropriate. A few highlighted that while they were aware of RIC priorities, they felt that there would be many other headteachers in the area who were not aware of the plan or priorities. Just two headteachers (both in the same RIC area) highlighted gaps in the RIC priorities – suggesting that there should be a priority around Gaelic medium education.

Identifying workstreams

- 3.16 The process of identifying workstreams for the early RIC plans was felt to work well where:
 - workstreams were informed by data, research and existing evidence;
 - there was a focus on identifying a small number of priorities helping to build a clear understanding of what they needed to focus on;
 - there was a focus on enhancing what local authorities were already doing, rather than duplicating activity;

- where priorities build on joint work that had already been happening, often more informally, between authorities; and
- partners met face-to-face to discuss and agree priorities at senior level.

Example: Identifying workstreams through evidence

In one area, partners quickly became aware that numeracy in primary schools was a challenge. There was a reduction in the proportion reaching the reading level between p1, p4 and p7 across all the authorities involved in the RIC. The same trend was seen, not to the same extent, for literacy. Because of the local evidence, and the clear link to attainment and national priorities, these were clear early priority workstreams for the RIC.

Example: Connecting with school inspection reports

In one area the regional advisor looked at all of the inspection reports across the RIC, from early years to secondary schools. There was a strong correlation with RIC workstreams, and the RIC was clear on what practitioners were looking for.

3.17 One regional stakeholder felt that the workstreams were important as a starting point, with the aim of building a new way of working across a much wider range of areas.

"The workstreams were a vehicle for creating collaborating capacity. People would get used to the idea of collaboration and begin to evolve partnership working across schools and authorities."

Regional stakeholder

- 3.18 However, there were challenges to identifying workstreams. A few regional stakeholders had to think very hard about the concept of additionality, and what this meant for the RIC. A few were unsure whether sharing practice for example where one authority had a particularly good approach was a legitimate focus for the RIC, as they felt their activity may need to be entirely new for all partners. A few regional stakeholders said they were still unsure what the term 'additionality' meant and how to interpret this within the RIC.
- 3.19 One national stakeholder indicated that it would have been useful to clarify that 'additionality' could be achieved through rolling out good practice within collaboratives, building on existing very strong performance of some partner authorities.
- 3.20 There were also specific challenges for different RICs. For example, in one area it was felt that priorities were very high level during phase one, and limited in scope. Conversely in another area it was felt there were too many priorities, and a need to reduce the number of workstreams.

"The scope and ambition of phase one was quite tight."

3.21 Regional stakeholders felt that the workstreams and priorities they were developing for phase two were stronger. In some cases, further research and consultation had strengthened the rationale for focusing on existing workstreams. In other cases, the research and consultation identified a need for new workstreams, which had been developed for phase two plans. Most indicated that their phase two activity was more informed by research and consultation than their phase one activity.

Connection to school, local, regional and national priorities

- 3.22 Overall, regional and national stakeholders felt that RIC plans were very well connected to national priorities, as set out in the National Improvement Framework (NIF). Regional stakeholders highlighted that they focused strongly on the priorities within the NIF and felt that their plans were well aligned with NIF priorities. Headteachers also highlighted positive connections with the National Improvement Framework and closing the poverty related attainment gap.
- 3.23 However, most regional and national stakeholders felt that plans were not so well connected to school priorities. Regional stakeholders felt that more needed to be done to focus on the priorities that are relevant to the region, and the individual schools but that the timescales for producing phase one plans made this very challenging. Regional stakeholders largely felt that the approach to the RIC plan had been top-down rather than bottom-up, due to the timescales. Most had tried to do some analysis of school priorities, as best they could in the time available.
- 3.24 Most school staff felt that there was a lot of similarity between school, local authority, regional and national priorities, with everyone working towards the same broad aims. Some highlighted that these were flexible, and could be tailored to meet needs at school level.

"The focus on improving attainment in literacy and numeracy meant it was natural for us to get on board. This was very relevant and could have a positive impact on our school."

Headteacher, primary

"It is good to see how the priorities translate from the national level to local level in a streamlined way."

Headteacher, secondary

"There is a clear link between all of the plans. It all ties up."

Headteacher, early years

3.25 However, a few headteachers felt that there were too many plans. One said that the RIC priorities covered everything that was relevant to schools, and a few felt it would be very interesting to see what was prioritised in terms of areas of focus or activity within RIC workstreams.

"The collaborative must ensure that the priorities and workstreams do not remain too high level, and bring this into the classroom so that it impacts on class teachers."

Headteacher, secondary

Example: Gathering headteacher views

In one area, the RIC looked at school plans and discussed common themes with headteachers. The RIC plan was based on what schools wanted, and needed, to improve.

3.26 While one national stakeholder had expected more alignment with school priorities, another felt that this was too much to ask within the phase one RIC plans, and the short timescales for developing these.

"They are not as connected as we would have hoped, particularly at school level."

National stakeholder

"It would have been a small miracle if they could have done that." National stakeholder

Use of improvement methodologies

- 3.27 Most regional stakeholders indicated that improvement methodologies were used as part of the planning process. This included using driver diagrams and tests of change. In some cases, these approaches were used as part of the planning process, or in workstream development, but were not included within the final RIC plan. Regional stakeholders also highlighted other methods such as action research, population segmentation and outcomes focused planning.
- 3.28 A small number of regional stakeholders expressed concern about a focus on using improvement methodologies, feeling that other approaches to planning including those already used within authorities could also be effective. One felt that they already knew what needed to change, and did not need to test approaches.

"We know what needs to be done at systems level. It is about consistency."

Regional stakeholder

3.29 One national stakeholder felt that using improvement methodologies was challenging in view of the tight timescales for phase one plans, and the challenges around capacity with RIC partners also already doing their 'day jobs'. Another national stakeholder indicated that it would take time before monitoring and evaluation systems were in place for all RICs.

Use of data and data sharing

Use of data in phase one plans

- 3.30 All regional stakeholders indicated that they used data to inform the RIC plan. The extent to which data had informed phase one RIC plans varied. While a few areas said that they experienced few challenges gathering, sharing and analysing the suite of data they needed, most experienced some challenges. Most felt that they had used data, but in an "adequate" or "limited" way.
- 3.31 In some cases, RICs found it challenging to access data at regional level, using robust and reliable data sources. A few found it hard as the data they needed was not produced at RIC level. A few felt they needed support from the Scottish Government in accessing the relevant data at regional level.

"National data needs to be available at the regional level in a frictionless and timely way."

Regional stakeholder

"They have analysed the evidence at the level they can, but they want to go further and deeper."

Regional stakeholder

- 3.32 Most said that they did the best they could within the timescales for producing phase one plans. This included:
 - using existing data focusing on the data they already held, including publicly available data; and
 - using data which could be easily shared including high level or local authority wide datasets.
- 3.33 Regional stakeholders reported that approaches to using and sharing data had continued to develop and were a key focus for phase two plans. This included analysis of data on attainment, attendance, exclusion, school leaver destinations and performance inspections. Regional stakeholders felt that Education Scotland regional advisors had provided access to substantial analysis, to inform phase two plans in some areas.

Example: Focusing on data during phase one planning

In one area, the RIC established a data group led by a local authority data officer. This group looked at data around curricular achievements and school performance. It also looked at local authority plans, children's services plans and other local and national plans. In addition, it analysed findings from a survey of 1,300 staff, and explored findings from a discussion group with children and young people.

Establishing baselines and monitoring systems

- 3.34 In some cases, the identification of baseline data was seen as a real strength, enabling the RIC to set clear, measurable indicators and targets for each workstream.
- 3.35 However, most regional stakeholders indicated that more work was needed to develop a meaningful baseline and way of measuring progress against this. Generally stakeholders felt that this was "work in progress" which would evolve and be refined over time.

"It is still early days, but there is a real focus on how to measure progress and impact over time."

Regional stakeholder

3.36 In some cases, RICs had lots of data, but found that their baselines and monitoring systems were drawing on a data suite which was too large, had too many indicators, or that their indicators were not smart enough.

"The question is where you stop. The bigger you make the package of data, the harder it is to see the priorities."

Regional stakeholder

"We need to get a bit slicker. We have a lot of data."

Regional stakeholder

3.37 There was some concern from regional stakeholders that in some areas the outcomes set were not capable of measurement, because of a lack of data available at RIC level.

Data sharing

- 3.38 Most regional stakeholders felt that the RIC had helped them to share data and talk about the themes emerging across the region. Data sharing was reported to work well when:
 - there were data sharing agreements in place;
 - data was shared at the appropriate level for example sharing local authority level data rather than school level, to reduce concerns about data protection; and
 - authorities within the RIC area used the same systems meaning that collating data was reasonably straightforward.

"We have created a collaboration and had the conversation, so expect that we will share information. A year ago this would have been an individual request to another director, and they would maybe have asked what we would do with it."

Regional stakeholder

- 3.39 In one RIC, regional stakeholders felt there were no challenges to data sharing. However, most RIC areas experienced some challenges.
- 3.40 A key challenge for phase one planning was sharing data in a way which enabled comparison or collation. Often local authorities recorded information in different ways, and some found it laborious to get data into a similar format across authorities. It also took time to explore key issues emerging where authorities had very different profiles – for example very varied levels of deprivation. In some cases, there was a reluctance to compare authorities – particularly if authorities were performing at very different levels, and worried about being portrayed in a negative light.
- 3.41 A few regional stakeholders found it challenging to access data from wider colleagues such as children's services or health.
- 3.42 Some of these challenges arose because the RIC was not a legal entity, with clear organisational responsibilities around data control and processing. This necessitated the development of information sharing agreements.

"There were challenges about different views on the level of data we could share. Was it appropriate to share school level data, or at a higher level." Regional stakeholder

3.43 A few regional stakeholders indicated they managed to share some data, to enable them to develop the plan, but that further work was ongoing around data sharing. One national stakeholder indicated that it was unrealistic to expect the phase one plan to be underpinned by regional level data, within the timescales.

4. Joint working

Key findings:

Overall, most regional stakeholders believed that there was a shared vision and aims for their RIC, and that partners were broadly signed up to these at senior officer level. However, in one area a few regional stakeholders remained unsure of the rationale for RICs, and the evidence for adopting this approach.

In some RIC areas, a culture of working jointly across the region has developed at senior officer level – including Heads of Service, Directors of Education and Quality Improvement Officers. However, others felt it was too early to point to changes in joint working across the region.

In some RIC areas, a wide range of other stakeholders had been involved – including health, police, community learning, colleges, universities, young people and parents. In some areas, there had been a focus on involving young people in identifying and leading priorities within the RIC.

The main challenges to joint working included taking time to buy into and understand the concept of RICs; practical challenges; senior staffing changes; and cascading the vision to wider stakeholders and the classroom level. However, most felt it was important to note that the RICs had only very recently been set up, and that joint working would take time to develop.

Overall, the support offered by regional advisors was felt to be very good, with individuals perceived to be very supportive. There was recognition from regional and national stakeholders that Education Scotland was going through a period of organisational change at the time of RIC development. There were mixed views on the value of feedback on phase one plans.

Some highlighted that the Scottish Government had worked jointly with RICs through facilitating discussion and events, and producing guidance. However, regional stakeholders largely felt that they were not working jointly with the Scottish Government. Most felt that the Scottish Government set the agenda, and they were expected to deliver. There was a perception that the approach was very top-down, which was hard to marry with the bottom-up approach of the RICs.

Some regional stakeholders felt that it would have been useful if the Scottish Government and Education Scotland had been further ahead and could have given clearer messages about resources and support earlier in the RIC development process.

Introduction

- 4.1 This chapter explores experiences of joint working, including:
 - joint working at regional level; and
 - joint working with national stakeholders.
- 4.2 This chapter is based mainly on feedback from regional and national stakeholders.

Joint working at regional level

A shared vision

4.3 Overall, most regional stakeholders believed that there was a shared vision and aims for their RIC, and that partners were broadly signed up to these at senior officer level. Stakeholders felt that meetings, events and away days had helped partners to develop a shared approach.

"I think that in the work we did for phase one of the plan we have developed a shared vision statement. This will underpin what is in phase two of the plan."

Regional stakeholder

"We have the right people in the right room at the right time. We came together in genuine collaboration. There was a naturalness to it." Regional stakeholder

4.4 However, in one area a few regional stakeholders remained unsure of the rationale for RICs, and the evidence for adopting this approach.

"What problem are they trying to solve by the establishment of RICs? Why the RIC approach? What evidence says this will help?"

Regional stakeholder

- 4.5 One national stakeholder felt that some RICs had struggled to grasp the concept of the opportunity, and the benefits of collaboration.
- 4.6 A few regional stakeholders in one area felt that the RIC plan was very education focused in their language, and that the governance arrangements for the RIC excluded wider partners beyond the education sector. These stakeholders felt that more needed to be done on culture and partnership working across sectors and themes, to ensure that people buy in to the positive benefits of the RIC.

Improvements in joint working at regional level

4.7 Regional and national stakeholders gave a range of examples highlighting how joint working at regional level had developed and strengthened over the first six months of RIC operation. In some RIC areas, a culture of working

jointly across the region had developed, particularly with senior level officials working together – including Heads of Service, Directors of Education and Quality Improvement Officers.

"Within their day to day work, officers now think what is happening in the other areas. To be honest, a year ago that wouldn't have been how they operated."

Regional stakeholder

"I enjoy working with the other Directors of Education. It feels less isolated and it is better having a collaborative view on things... It is good to extend your view beyond your own local authority and see what is working elsewhere."

Regional stakeholder

"There is a different mindset at senior level. People are prepared to share experiences and work across council boundaries, and they can see that this will benefit the system in the longer term."

National stakeholder

4.8 However, some felt it was too early to say. A few regional stakeholders indicated that joint working between local authority areas was not new, and it was important to recognise that partnership work had already been taking place.

"There hasn't been enough time for things to bed in, or to form proper relationships."

Regional stakeholder

Example: Connecting practice around parental engagement

In one area, collaborative capacity was developed through the authorities and schools within the RIC sharing practice around Pupil Equity Funding. In another, as they looked at PEF approaches, they realised all authorities in the RIC were struggling with parental engagement. They are therefore focusing collectively on this.

Example: Connecting practice around numeracy

In one area, educational psychologists realised that they were all working on small tests of change around numeracy. They have combined this into one workstream, which is linked to the RIC numeracy workstream. This has provided "even greater connectivity".

Example: Pooling early years resources

In one area, the local authorities pooled their early years resources provided by Scottish Government to provide 1,140 hours of early learning and childcare for pre five children. The authorities pooled expertise across the RIC to deliver on this key Scottish Government priority, and appointed a lead officer to lead this work. They looked at best practice across the region, and there were opportunities for the authorities to learn from one another and share practice.

Challenges to joint working

4.9 Regional stakeholders identified a number of challenges to joint working:

- buy-in to the concept a few regional stakeholders reported that some local authorities were initially wary of the RIC agenda and not convinced of the benefits;
- confusion about the concept in one area, stakeholders reported a
 public perception that the RIC was there because the local authority was
 not performing well, which resulted in different levels of engagement
 with the RIC;
- practical challenges getting key people together (particularly across large geographies), co-ordinating diaries, progressing workstreams and releasing people to be involved in RIC activity;
- changes to high level staff requiring further work to consolidate vision and build relationships;
- working with wider stakeholders across regions which have different boundaries from the RICs; and
- cascading the vision to the classroom level although regional stakeholders felt it was still early days for this.

"It involves compromise, letting go of practice that people probably hold dear, persuasion..."

Regional stakeholder

"We are full of enthusiasm and passion when we meet. Then we go back to our day jobs."

Regional stakeholder

"We need to work on changing attitudes and improving practice... Local authorities need to be honest with each other and less territorial."

Regional stakeholder

4.10 Regional stakeholders highlighted the importance of nurturing collaboration over time and keeping momentum, to address these challenges. A few stressed that the RICs necessitated cultural change which would take place over a long time period and required resources.

"Collaboration takes time and resource and can't be done on a zero budget." Regional stakeholder

"There is a real tension for staff about doing what they are paid to do and finding time to get involved in wider RIC work."

Regional stakeholder

4.11 In one area, regional stakeholders strongly felt that a full time RIC lead was required to drive the RIC and support joint working. In another area, a wider regional stakeholder felt it could be very challenging to keep up with the volume of information around the RIC.

"Without a lead, the RIC lost a bit of impetus. It is really important that it is driven... Without someone taking on that as a full-time role, it is very difficult to do."

Regional stakeholder

Engagement with other stakeholders

- 4.12 In some RIC areas, stakeholders highlighted that a wide range of other stakeholders had been involved including health, police, community learning, colleges, universities, young people and parents. As part of this review, a small number of these wider stakeholders were interviewed.
- 4.13 One parent interviewed as part of this review was supportive of the RIC plans, but concerned that parents didn't currently have a mechanism for feeding into priorities at regional level. While recognising the challenges of engaging with parents, as a complex group of people with very different ideas and values, she hoped that RICs would spend time on exploring new methods and approaches for communicating and engaging with parents.
- 4.14 One college interviewed as part of this review felt that its links with schools had developed greatly through involvement in a RIC workstream, focusing on making better links between schools and colleges. Involvement in this workstream had resulted in an increase in the number of students coming to the college from across the region. The college had also begun sharing data with the local authorities and schools, to facilitate joint working.

"Our work with local schools has really taken off as a result of our involvement in the RIC."

Regional stakeholder

Example: Engaging with universities

In one RIC, a local university is a key partner. The university representative feels very involved in the governance of the RIC. The university plays a key role in ensuring that the RIC takes a research and evidence based approach to its work.

"The RIC is very inclusive and involving."

Regional stakeholder

4.15 In some areas, there had been a focus on involving young people in identifying priorities. For example, in one area, the RIC set up a children's and young people's group, led by two headteachers. This group identified mental and emotional wellbeing as a key priority, and there is now a new RIC workstream on this theme, led by young people.

Joint working between RICs

4.16 Finally, a small number of regional and national stakeholders highlighted the importance of the relationships between regional leads, who had supported one another in a positive manner. Some regional leads felt that this type of support was very important.

"There is a real feeling that they are all in this together, and there is a real willingness to work together and support each other."

National stakeholder

"Support is important in a role like this. There is a long list of priorities and the nature of the role could be quite lonely."

Regional stakeholder

Working with national stakeholders

Working with Education Scotland

4.17 There was recognition from regional and national stakeholders that Education Scotland was going through a period of organisational change at the time of RIC development.

"Education Scotland is perhaps currently recognised as being in a state of transition... Arrangements for how Education Scotland will interact with RICS, and the balance between support and challenge, are being rehearsed."

Regional stakeholder

4.18 Overall, the support offered by regional advisors was felt to be very good, with individuals perceived to be very supportive. Advisors had helped with collating and sharing data, sharing information between RICs and providing both support and challenge.

4.19 A few stakeholders felt that the relationship between advisors and RICs could be variable due to the skills, capacity and individual workload of regional advisors. Some regional and national stakeholders suggested that RICs did not feel as supported as they could have been, and that there was a lack of clarity about the role of Education Scotland, and the support available to RICs beyond the regional advisor. However, two national stakeholders and some regional stakeholders felt that the new Chief Executive had helped to bring more clarity and a positive approach.

"The Education Scotland partnership is evolving and getting better." Regional stakeholder

- 4.20 One national stakeholder felt that there was a high level of expectation about phase two support, and that the dynamics of the relationship between RICs and Education Scotland could change depending on the support provided.
- 4.21 While regional stakeholders in one area found feedback on their phase one RIC plan useful, most others felt it was unhelpful and some felt it was a little patronising. Regional stakeholders felt that there should be more recognition of the expertise and skills of those involved in the RICs, through peer review, and more focus on working collaboratively with Education Scotland as is planned for the phase two RIC plan review process.
- 4.22 A few regional and national stakeholders also highlighted that as Education Scotland became more involved in RICs, there was a need to think carefully about how RICs were reviewed and evaluated independently.

Working with Scottish Government

- 4.23 Some highlighted that the Scottish Government had worked jointly through:
 - working with COSLA and others to explore the scope and terms of reference for RICs;
 - facilitating discussion between RIC leads through the Scottish Education Council;
 - offered access to the thoughts of ministers;
 - facilitated access to key groups leading on education reform;
 - worked with Education Scotland to produce guidance on RIC development;
 - involved some regional stakeholders in developing guidance and templates for bidding for resources; and
 - supported the development of PEF (Pupil Equity Fund) workshops based on RIC areas.
- 4.24 One regional stakeholder felt very included in the development of national policy and practice around RICs.

"We can be part of putting the picture together."

4.25 However, regional stakeholders largely felt that they were not working jointly with the Scottish Government. Most felt that the Scottish Government set the agenda, and they were expected to deliver. There was a perception that the approach was very top-down, which was hard to marry with the bottom-up approach of the RICs. Many felt that there was a lack of communication and some mixed messages. The tone and language used in some Scottish Government correspondence upset some stakeholders, and created a feeling of distrust and disquiet among some.

"There are so many changes about expectations going forward. What is the direction of travel?"

Regional stakeholder

4.26 Most regional stakeholders were concerned about the timetable for developing RIC plans. One national stakeholder felt that civil service views were very fixed, and that there had been too much influence over RIC development from senior national political figures.

"There is a political requirement to be seen to make progress."

Regional stakeholder

4.27 Some regional stakeholders felt that it would have been useful if the Scottish Government and Education Scotland had been further ahead and could have given clearer messages about resources and support earlier in the RIC development process.

5. Engagement with schools

Key findings:

School staff, regional and national stakeholders all felt that engagement with schools through the RIC was at very early stages. Often, engagement with schools was targeted, working with a small number of schools to test theories of change and demonstrate proof of concept.

Regional stakeholders indicated that awareness about the support that the RIC can provide, and what is provided by the local authority, was a work in progress. Most felt strongly that the key point of initial contact for schools should be their local authority.

The interviews with schools through this research focused on those who had been involved in the RIC in some way. All had heard of the RIC for their region and most knew who their RIC lead was.

School staff felt that the purpose of RICs related to sharing best practice, making connections and building consistency. School staff felt that this was being done with the aim of supporting school improvement, and ultimately improving outcomes for children and young people. Most were very positive about the RIC concept.

Headteachers and other school staff largely felt that it would take time to see an impact in schools. Most felt that up to this point, the RIC had probably not been visible to the teacher in the classroom.

Some gave early examples of sharing best practice in a more structured way than before. Those involved in sharing practice enjoyed seeing what others were doing, felt it brought a fresh perspective, were energised and were picking up ideas of how to do things differently in their own school.

Some school staff highlighted examples of how their skills had developed through being involved in the early work of the RIC. Some had been involved in targeted work around leadership, self-evaluation, improvement methodologies, moderation of assessment, parental engagement, maths, early literacy and equality. Some gave examples of how the RIC had influenced their practice and school approaches in these areas.

Introduction

- 5.1 This chapter explores:
 - the approaches RICs have taken so far to engaging with schools;
 - awareness of RICs among school staff mainly headteachers;
 - the impact of RICs on schools so far over the first few months of RIC activity; and
 - the approaches RICs have taken to ensuring that schools understand what is available from the RIC, and what is available elsewhere.
- 5.2 This chapter is largely based on interviews with 47 headteachers and principal teachers in 42 schools across Scotland.
- 5.3 It is important to note that these interviews were specifically targeted at schools which had been involved, in some way, in the RIC.
- 5.4 The chapter also includes reflections from regional and national stakeholders in relation to their engagement with schools.

RIC approaches to engagement with schools

- 5.5 Most regional and national stakeholders felt that engagement with schools through the RIC was at very early stages. Stakeholders felt a sense of achievement in establishing their RIC, developing plans, and beginning early stages of engagement. One national stakeholder emphasised that it was a huge achievement that the RICs have developed into something identifiable over their early stages of development, and that it was too early to assess or review levels of engagement with schools.
- 5.6 Regional stakeholders highlighted that engagement with schools had been targeted, working with a small number of schools to test theories of change and demonstrate proof of concept. Some were reticent about approaching schools and teachers until they had something that all frontline teachers could use in their schools.
- 5.7 Most RICs had undertaken awareness raising work with headteachers. Approaches varied between different regions, but included:
 - RIC launch events and PEF events (jointly with the Scottish Government);
 - letters, newsletters, bulletins and question and answer papers;
 - presentations to headteacher forums and groups and providing copies of presentations for headteachers to use with their staff;
 - developing a social media presence for the RIC and using video clips to provide information about the RIC plan;
 - consultation with headteachers (and in some cases class teachers);
 - conferences for headteachers and teachers focusing on key themes such as maths; and

- meeting with trade union representatives.
- 5.8 Regional stakeholders felt that the PEF events were a good opportunity to raise awareness of the RIC. Some were focusing on developing digital approaches to engage with schools in the future, particularly those operating over large geographical areas. For example, in one area, partners were setting up a RIC Hub and Microsoft teams to help connect headteachers, practitioners and schools. In another area, the RIC set up a shared database to help share priorities, information and best practice between schools, as part of the school improvement workstream.
- 5.9 National stakeholders felt that within each RIC area, some schools would have been very involved in RIC activity, while others may not know what the RIC is.
- 5.10 In most RIC areas there had been some engagement beyond headteachers with a small number of schools involved in RIC workstreams. These schools were more heavily involved in RIC activity, with a wider range of staff involved including principal teachers and class teachers. And in one area, 80 principal teachers had been brought together at a regional event focusing on closing the poverty related attainment gap.

Clarity of provision for schools

- 5.11 Regional stakeholders indicated that awareness about the support that the RIC can provide, and what is provided by the local authority, was a work in progress. Most felt strongly that the key point of initial contact for schools should be their local authority. Stakeholders stressed that the RIC was a collaboration, not an entity within the education system. Local authorities were seen to be the most appropriate place for ongoing support and challenge, with schools signposted by authorities to other sources of support as needed.
- 5.12 However, in some areas, RICs were beginning to co-ordinate their support and develop regional approaches such as:
 - developing a regional directory of support;
 - developing a regional subject specialist network for secondary schools; and
 - establishing joint systems for career long professional learning.
- 5.13 Regional stakeholders indicated that care needed to be taken to be clear that RIC activity focuses on what can be achieved jointly, as stated within their plan. There was some concern that RIC leads were being asked to act as a conduit to schools, when this role should continue to be undertaken at local authority level.

"There is a kind of expectation that the RIC will do everything... RIC activity needs to be related to the priorities in the plan."

Regional stakeholder

5.14 It was clear that in some areas, there were different views about the types of activity the RIC should be getting involved in, between partners, and to what extent schools should be able to drive activity.

Example: Barriers to school led approaches

In one area, headteachers attended an event and decided to get together and develop a common approach to maths across the authorities. However, a regional stakeholder reported that one of the Directors of Education was not comfortable with this approach and did not feel that this is the type of work the RIC should be doing. The headteachers therefore ceased their work in this area.

"It shows that at the end of the day, one individual director can say no. We all need to cede a degree of autonomy."

Example: Barriers to school led approaches

In one area, school staff booked to go to RIC sessions under one of the workstreams but were then told they were not to attend. At this school teachers felt it wasn't clear what parts of the RIC the local authority was committed to, and weren't sure which workstreams the authority was participating in.

5.15 In one area, regional stakeholders reported that there was some concern from teachers and headteachers about who they were accountable to, and the RIC worked hard to make sure they understood they remained accountable to the local authority who employs them. However, in two other areas regional stakeholders felt that teachers really wouldn't notice much difference and wouldn't mind whether it was the RIC or local authority providing the support – as long as they were able to access the help they needed.

"Some fear that this is another layer of bureaucracy. But it should be a creative space, an experiment... a test bed for innovation. It is a space not a place."

Regional stakeholder

School staff awareness of RICs

5.16 All of the school staff involved in this research had heard of the RIC for their region. Most knew who their RIC lead was – and those who did not largely referred to a workstream lead who had led the area of work they had been most involved in.

- 5.17 Interviews with headteachers and other school staff who had been involved in the RICs indicated a clear view that the purpose of the RICs was to:
 - share best practice sharing experiences, approaches and insights across the whole region, beyond local authority boundaries;
 - make connections developing professional networks and enabling professional dialogue, with access to a wider range of people to support schools;
 - build consistency and shared priorities encouraging consistent practice and building common approaches to learning and teaching; and
 - share resources and build capacity in some areas, school staff felt the RIC was there to help authorities to share resources and costs and add value through collaboration.
- 5.18 School staff felt that this was being done with the aim of supporting school improvement, and ultimately improving outcomes for children and young people.

"We want to keep quality high so that children have uniform quality experiences across the authorities."

Headteacher, early years

"I see it as an opportunity to pick other people's brains and to share ideas and approaches."

Headteacher, primary

5.19 Most school staff were very positive about the RIC concept, welcoming the opportunity to learn from others and share practice.

"We are always keen to learn from other colleagues. It's really good for teachers to be aware of what other people are doing."

Headteacher, primary

"As a headteacher it's about learning and challenging my own practice and improving my school."

Headteacher, secondary

"It's what we are looking for. We can all help each other through sharing best practice and true collaboration."

Headteacher, secondary

5.20 Many were very positive about the opportunity for cross-boundary working that the RIC could offer.

"We are beginning to see the light in terms of the power of collaboration, and not being bound by the local authority."

Headteacher, secondary

"To share good practice and raise attainment across the authorities, rather than us all reinventing the wheel."

Headteacher, primary

5.21 However, one headteacher felt that the RIC had been forced on local authorities and could not yet see the value for schools. A few stressed that RICs should enhance, and not replace, the support function of local authorities.

"I am not sure where the RICs fit, they have been forced upon us. Where's the added value?"

Headteacher, primary

School involvement in RICs

- 5.22 Overall, many headteachers felt that communication through the RIC had been good. There was recognition that RICs were still in early stages, and a feeling that leads had tried hard to communicate with headteachers. A few indicated that the pace was good, and not too quick, which could be overwhelming. A few pointed to good use of newsletters, events for headteachers and online communication such as Sway presentations.
- 5.23 Most headteachers had been involved in the RIC through conferences, events, launch sessions or briefing sessions. School staff felt positive and excited by events bringing people together and valued the opportunities to share good practice.
- 5.24 Some schools had been involved in small scale work around specific workstreams, and this was highly valued. This had provided opportunities to network, a source of advice and support, and access to specialist training.
- 5.25 A few school staff felt that there was a need to focus on resourcing joint working, with some finding it hard to get time out of class to attend joint meetings, and fund travel and subsistence in geographically large regions.

"The logistics of collaboration are complicated and also expensive, due to the scale and geography of the region."

Headteacher, secondary

"Some funding is needed to help run the RICs, and to take some pressure off regional leads. If this is left to the goodwill of senior officers, then this might impact on the sustainability of RICs in the longer term."

Headteacher, primary

Impact on schools

5.26 Headteachers and other school staff largely felt that the RIC had only recently been established, and that it would take time to see an impact in schools. Most felt that up to this point, the RIC had probably not been visible to the teacher in the classroom. A few school staff felt that this was because of a focus on small scale tests of change, with the RIC taking a gentle approach to testing ideas and then engaging with a wider range of schools.

"Headteachers and senior leads know about the RIC, but it has not had an impact at classroom level yet."

Headteacher, secondary

"My own school already has a clear plan on how to improve literacy and numeracy, so we haven't felt any impact of these workstreams... It hasn't been heavily influential."

Headteacher, secondary

Sharing best practice

- 5.27 Many headteachers said it was too early to see examples of schools working together across the RIC to share best practice. However most were very positive about the idea, and keen to take up opportunities to share best practice.
- 5.28 Some headteachers and principal teachers gave examples of sharing best practice through:
 - Events Launch events and briefings for RICs helped some schools to develop new connections and networks, although some were keen for this to develop into deeper joint working. Events focusing on particular themes, such as maths, literacy or closing the attainment gap, were valued as helping to develop new ideas and motivate staff to think differently about their practice.

"It was very collaborative... Everybody came to the table really positive about moving forward. I think it's really important to have these connections between authorities... for the benefit of children across Scotland."

Headteacher, early years

• Visits – Some school staff had visited other schools, nurseries or family learning centres across the RIC to learn and share good practice, or had hosted visits to their own school. Where this had happened, school staff were very positive that this had built relationships, enabled schools to learn from one another, and provided opportunities to discuss issues.

"We now have a database that can identify schools doing work in certain areas. This helps us to connect with other schools within and beyond our local authority area."

Headteacher, primary

 Clusters, partners and networks – Through the RIC, some schools have been able to develop clusters or networks of similar schools across the region. For example, a few schools in areas of deprivation felt that the RIC enabled them to connect with schools with similar demographics. This could be small scale – for example breakfast meetings with a similar school in a different area – or larger scale – such as a forum or network to discuss maths, literacy or another key theme. This helped make sharing of practice particularly relevant, through linking with similar schools or focusing on a particular theme, across local authority areas.

"It was good to have the chance to talk to people from other schools... It was good to see examples and to have a set of comparisons." Headteacher, secondary

5.29 Headteachers and other school staff involved in these approaches felt that the RIC had helped them to share practice in a more structured way, enabling this across local authorities. A few mentioned that they may previously have heard about what was happening in another area in a more informal way – for example through friends – but that the RIC was providing more structured opportunities for headteachers, principal teachers and others to come together. Those involved in sharing practice enjoyed seeing what others were doing, felt it brought a fresh perspective, were energised and were picking up ideas of how to do things differently in their own school.

"There is now a more structured approach to making collaborations happen." Headteacher, secondary

"It is helping us to find out what others are doing."

Headteacher, primary

- 5.30 Most regional and national stakeholders also felt that there were signs that schools were willing and positive about sharing practice, and that a culture of sharing practice was beginning to develop in its early stages. For example:
 - In one RIC area, stakeholders felt that school clusters at local authority level now have the disposition to look across the RIC authorities, to see what they can do together and what links they can make.
 - In one area, there are twilight sessions for schools involved in tests of change to share their experience.
 - In one area, headteachers have agreed to use a common approach to assessment and moderation across the RIC area.
 - In one area, the RIC wondered about creating families of schools based on levels of deprivation. However, the schools wanted to work

together on themes, like senior phase pathways. This is felt to be a more genuinely bottom up approach, led by the schools.

 In one area, a high school was identified as a centre of excellence for maths. The school has invited other local authorities to come and see what they are doing and share their experience. So far, two authorities from the RIC have benefited from this.

"The local authorities are talking to each other and we're starting to align priorities."

Headteacher, primary

"Being able to share practice has been terrific. I've learnt lots."

Headteacher, secondary

Example: Joint work on equality issues

In one area, the RIC set up a group focusing on equality issues. One principal teacher found this a great opportunity to share best practice, find out what other schools were doing, learn new things and make new contacts. The principal teacher now feels more able to link with other schools across the region, and work with wider partners including equality forums and groups. There were also opportunities for pupils to get involved.

"Schools often work in isolation. They need to be able to find out what other schools are doing and learn from good practice."

Principal teacher. secondary

Example: Sharing practice through school visits

In one area, colleagues from the region came to one school to see how they were using Word Aware, and the school staff will be going to other authorities to see some good practice in other schools.

"I'm hugely into collaboration and normally we don't get out enough to see other places – so its hugely inspiring."

Headteacher, primary

Skills development

5.31 While for most the RIC was in its early stages of development, some school staff highlighted examples of how their skills had developed through being involved in the early work of the RIC. Some had been involved in targeted work around leadership, self-evaluation, improvement methodologies, moderation of assessment, parental engagement, maths, early literacy and equality. The headteachers who had been involved indicated that they had developed new skills through this involvement.

"It has upskilled me. I am now more knowledgeable about parental engagement."

Headteacher, primary

"It has supported the leadership of the teachers. It's given them the opportunity to lead."

Headteacher, secondary

5.32 In some cases, skills development opportunities had extended to principal teachers, class teachers and support workers. For example in one school, in a region where the RIC was well established, classroom assistants had been upskilled and were adopting new approaches through the emerging literacy programme. In another school, two teachers attended events on moderation of assessment and returned to the school to cascade knowledge to all school staff. Headteachers felt that staff involved in these events came back to the school feeling more enthused and knowledgeable.

"It has brought more of a coaching approach to the way I lead my team." Principal teacher, secondary

"I have really welcomed the support from the numeracy workstream. It has been a great opportunity for my school."

Headteacher, primary

Example: Maths champions

In one school, a teacher was chosen to be a maths champion for RIC activity. This has involved "rich CPD for staff" and close joint working with other schools. Overall, school leadership felt the approach had worked well. The maths champion has received professional recognition, developed her leadership skills and progressed into a leadership role in the school.

5.33 A few headteachers also welcomed learning around models of improvement, which has helped to develop approaches to measure progress and impact within the school.

"The Scottish Government training was invaluable. It was a real treat being able to pick the brains of specialists... It has helped me to develop a more robust approach to evaluation."

Headteacher, primary

Influencing practice

5.34 Most headteachers indicated that it was early days in RIC activity and it had yet to influence practice in their school. However, some gave examples of how involvement in the RIC had influenced their practice and school approaches. This included:

- A new approach to numeracy developed by the RIC had influenced school approaches to numeracy. This was supported by in-service training for class teachers, and champions to support the new approach.
- A new approach to emerging literacy helped to introduce new practices in some schools, and increased collaborative work with speech and language. In one school, staff have thought about using different resources, and have consulted and planned their approach with nursery colleagues. This has had a real impact on planning. They have also developed their own online way of measuring attainment, tracking children from nursery to P1 stage.
- In one area, schools highlighted that the RIC has enabled discussions around consistent use of teachers' professional judgement across a range of subject areas. For example, a joint approach to the curricular progression framework has been developed for design and technology, within broad general education.
- After being involved in RIC work around moderation of assessment, one headteacher immediately implemented some changes – particularly around simplifying the learning intentions and success criteria.
- In one school, involvement in a RIC group around PEF and parental engagement encouraged the school to think about how it engages with parents. The headteacher attended the group and came back to discuss approaches with her depute and principal teachers. This has helped them to develop a more focused approach to engaging with parents.

"It has been collaborative and we have had the flex to take the learning where we wanted."

Acting headteacher, primary

"There is so much support available. It has had a very positive impact on the class and the children. I am a happier teacher and have a happier class." Class teacher, primary

5.35 A few felt that the RIC approach gave them the ideas to develop practice in a way that suited their school.

"It has absolutely transformed my practice. I got to hear about the most current effective practices. It helped me change what I was doing, which, frankly, wasn't good enough. And now that has been spread across the school."

Headteacher, primary

"It was worthwhile because it made me become really, really methodical about what I was looking for – to really think about what I am trying to teach. And I think that anything that makes you look at your teaching is useful." Headteacher, secondary "My eyes have been opened. It really helps you to have a more strategic approach to looking at priorities and what you are doing in school." Headteacher, primary

Sharing data

5.36 Almost all schools involved in this research said that they were not yet at the stage of sharing data across the RIC. However, some said that they had been doing work at regional level on how to measure impact, how to share data and how to target activities. A few said they had learned about data through the RIC.

"I learned a lot about our school and how it compares statistically across my cluster and neighbouring clusters."

Headteacher, primary

6. Future plans

Key findings:

Engaging with schools was a key priority for regional stakeholders, with many focusing on enhanced communication and engagement as part of their phase two work.

School staff felt it was important to continue raising awareness of the RIC among all school staff and make sure that school staff had the capacity to engage in joint working, both through resources and smart use of technology to reduce travel time and costs.

Some headteachers were excited that there was huge potential for joint working and sharing practice through the RIC. However, some cautioned that it was important to understand that changes are not going to be instant.

RICs were also planning to further develop their governance arrangements, for example through developing mechanisms for sharing costs and resources effectively, and widening membership to include a wider range of partners.

Regional stakeholders indicated that longer term, their vision largely remained as set out in their phase one RIC plans. Overall, there remained a focus on creating spaces to innovate, share practice and learn, in an empowered environment.

Introduction

6.1 This chapter explores views on future plans and long term aims for the RICs, from the perspective of schools, regional and national stakeholders.

Plans for engaging with schools

- 6.2 Regional and national stakeholders identified engaging with schools as a key priority for the near future. Many highlighted that enhanced communication and engagement plans were being developed as part of the phase two RIC planning process. This involved engaging with school staff at all levels, as well as others including pupils, parents and wider community stakeholders.
- 6.3 Some regional stakeholders highlighted that as part of this, they were exploring online and digital solutions to enable schools to come together and share practice in an efficient and useful manner.

"Digital media needs to be harnessed to deliver smart solutions that can deliver real impact in the classroom."

Regional stakeholder

- 6.4 These approaches fit well with the priorities of school staff, who were keen that RICs continued to engage them. Many school staff felt that it was important to focus on small bursts of snappy information which is clear and relevant for school staff, to avoid communication being overwhelming. Many suggested that it would be useful to develop online spaces for RICs and use technology to link people together, such as GLOW, social media or short video clips.
- 6.5 While some felt that it was the role of the headteacher to filter information to other school staff as relevant, others felt that school staff beyond headteachers should be involved in RIC events and networking. A few school staff felt it was important to give the message that everybody doesn't need to get involved in everything, and schools can pick and choose what they participate in. A few felt there was a need for more consultations to get staff views. Overall there was agreement that there needed to be clear benefits for staff, with meaningful and relevant opportunities before too much information about the RIC was disseminated.
- 6.6 Some headteachers were excited that there was huge potential for joint working and sharing practice through the RIC. However, some cautioned that it was important for national politicians to understand that changes are not going to be instant, and need time to bed in.

"Anything new introduced to schools needs time and consistency." Headteacher, primary

"It is such an innovative and forward thinking approach. Long may it continue."

Headteacher, primary

Strengthening RIC governance

- 6.7 Priorities for developing governance arrangements varied between RICs. Priorities included:
 - developing mechanisms for sharing costs and staff resources effectively;
 - strengthening governance through more formal structures;
 - exploring arrangements if partners disagree, or do not attend;
 - developing data sharing agreements; and
 - widening membership to include a wider range of partners.
- 6.8 National stakeholders largely felt that the priorities for developing governance arrangements were all different and should be led by the RICs themselves.

One national stakeholder was interested to see how effective RIC structures would be when it came to managing funding.

Longer term aims

- 6.9 National stakeholders generally felt that future priorities should be set by those involved in RICs, with additionality being different for each RIC.
- 6.10 Regional stakeholders indicated that longer term, their vision largely remained as set out in their phase one RIC plans. Some indicated that consultation, engagement and data use between phase one and phase two plans has deepened their understanding of the priorities, and increased their commitment to focus on these areas. A few regional stakeholders indicated that consultation and joint working had identified new workstreams.
- 6.11 Overall, there remained a focus on creating spaces to innovate, share practice and learn, in an empowered environment. The focus was on taking activity from early planning and development stages through to delivery, getting into schools and classrooms across the region.

"We need to use the scale of the RIC to have more impact and develop work at a faster pace."

Regional stakeholder

"The key thing is to keep collaboration going and deepen it. We need to work out what works, and share this across councils and schools so that we can improve attainment."

Regional stakeholder

- 6.12 Some regional stakeholders hoped that over time they would achieve more clarity on the role of the RIC, as a space to develop ideas, and the role of the local authority.
- 6.13 Some regional stakeholders felt that there was great potential for further activity at regional level, as joint working arrangements developed and strengthened. A few suggested that there could be opportunities for collaboration between RICs, to share learning between regions in the future and build expertise to drive innovative future approaches.

"Some of the things where we could have the greatest success and innovation can be achieved regionally."

Regional stakeholder

7. Conclusions

Introduction

- 7.1 This chapter sets out overall conclusions from the interim review of RICs. It draws on the reflections of:
 - 24 regional stakeholders including RIC regional leads, regional advisors and a wider range of stakeholders involved in RICs including colleges, universities, parents, elected members and Directors of Education;
 - 9 people representing national stakeholders Scottish Government, COSLA, ADES, SOLACE and Education Scotland; and
 - 47 school staff largely headteachers, but also including a very small number of interviews with other school staff such as principal teachers.

Key findings

7.2 Overall, stakeholders felt that RICs were in their early days of operation, and that the timetable for setting up structures and developing plans had been tight. Stakeholders also felt it was important to recognise that phase one RIC activity has largely been taken forward without additional resources. The availability of resources to support phase two plans was welcomed.

Governance and planning

- 7.3 Regional and national stakeholders were broadly content with the governance arrangements established for RICs. They felt that structures had been set up in a way which suited each region and recognised and linked with local authority decision making structures.
- 7.4 Some national stakeholders felt that it was a real achievement to have all local authorities signed up to the RICs, with appropriate structures and early plans in place.
- 7.5 The key factors felt to enable successful governance included:
 - buy-in from senior officers and elected members;
 - clear links between partners, schools and elected members; and
 - a clear focus on overall intended outcomes.
- 7.6 In most cases, regional and national stakeholders believed that there was a shared vision and aims for the RIC at senior officer level within participating authorities. However, a few regional stakeholders were unsure about the rationale of the RIC concept, and there was some lack of clarity about the concept of additionality and what it meant in practice.

- 7.7 Overall, regional and national stakeholders felt that it was a significant achievement to have produced phase one RIC plans within the timescales. Planning processes were felt to work well where:
 - plans were informed by data and research;
 - each partner had a clear understanding of their priorities; and
 - there was a focus on enhancing rather than duplicating activity.
- 7.8 Regional, national and school level stakeholders all felt that RIC plans were well connected to national priorities. However, regional and national stakeholders felt there was more work to do on connecting the plans with school priorities. The schools involved in the research largely felt positive about the connection between school, local, regional and national priorities and plans.
- 7.9 Most regional and national stakeholders felt that more needed to be done to develop ways of meaningfully measuring progress, including strengthening the sharing, collating and analysis of data across the region.

Stakeholder engagement

7.10 Regional, national and school level stakeholders all felt that more work needed to be done to engage stakeholders and schools more widely, raising awareness and involving them in planning and participating in RIC activity. Engagement with schools and development of the offer to schools were key priorities for phase two RIC activity. However, most felt strongly that the main initial point of contact and support for schools should continue to be the local authority, with a need for clarity about the role of the RIC and how it fits with and complements existing support.

Support and joint working

- 7.11 Regional stakeholders felt that the support offered by regional advisors was good and helpful. Regional stakeholders were interested to see how the regional offer of support from Education Scotland would develop in the future.
- 7.12 While the availability of resources to support phase two of RIC activity was welcomed, most regional stakeholders felt that the early phases of RIC development were challenged by limited resources and tight timescales. Most regional stakeholders felt the approach by Scottish Government felt top-down, which was hard to reconcile with the local, bottom-up approach required for RICs.

Impact

7.13 So far, regional stakeholders believe that the RICs have encouraged joint working between officers in different local authority areas. RICs have also tested approaches to engaging with and supporting schools, often through small scale tests of change and targeted work with schools across different workstreams.

- 7.14 All stakeholders indicated that it would take time to see an impact. However, some school staff gave very positive early examples of sharing best practice, skills development and influencing practice around areas of leadership, self-evaluation, moderation of assessment, improvement methodologies, parental engagement, maths, early literacy and equality.
- 7.15 Overall, school staff were very positive about the idea of learning from one another across the region, and welcomed opportunities for networking, building skills and developing their practice.

Appendix One: Links to RIC plans

Phase One Plans

Forth Valley and West Lothian Collaborative

Northern Alliance

South East Collaborative

South West Collaborative

Tayside Collaborative

West Partnership

Note: At the time of this report, some of the RIC plans were only available online within local authority committee papers.



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The views expressed in this report are those of the researcher and do not necessarily represent those of the Scottish Government or Scottish Ministers.

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GLASGOW CITY REGION Education

EXCELLENCE AND EMPOWERMENT

Regional Improvement Plan



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Foreword



I am proud to present the second iteration of the West Partnership's Regional Improvement Plan for submission to Education Scotland.

Over the course of 2018, following the publication of our previous plan,

we have sought to explore how we can, and indeed will, seek to work together for the benefit of our region's children and young people.

This hasn't been from a standing start. Collaboration across our 8 partners has been well established for a number of years. We are, and always have been, a close knit network of professionals, learning from one another, and working together to deliver.

And it is evident in our performance.

In a region with some of the country's most challenging communities, the performance of each authority is a match for anyone else with children and young people across the West Region performing above expectations. This is not by accident and it is thanks to the sustained efforts of staff from across each authority that we can be proud of our record.

But we know there is still much to do and it is by working together, seeking to build on our collaborative foundations that we can continue to drive performance even further.

We are clear in both the outcomes we want to achieve and how we will get there – it's about Equity, Excellence and Empowerment at all levels.

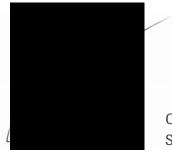
It's more than just a vision too – it's a culture we want to build in every one of our schools and nurseries across the region, improving learning and teaching and, crucially, improving the outcomes for all of our learners. And it's a journey we've already started.

In the short time that we've formally worked together as a Regional Collaborative, we have already demonstrated the value and impact that we can collectively achieve through the running of joint events and opportunities for all 8 authorities.

But this is just the start. This second Regional Improvement Plan builds on the vision we set out in our previous plan, outlining an ambitious programme to drive even further improvement within and across each of our partners, enhancing the current offer to our schools and delivering for our learners.

As I made clear in last year's plan, evaluation will be crucial to our success. We have a duty to all of our learners to demonstrate the impact we're having. If we're serious about closing the gap, we need to be able to show it. We need to demonstrate that our actions, in each and every workstream, are having the desired impact.

The West Partnership has the opportunity to drive the transformation of education across our region – delivering an equitable, excellent and empowered system which will improve experiences and outcomes for all of our learners and leave no one behind. This improvement plan represents the next step in that journey and I am proud to present it.



Cllr Jim Paterson September 2018



Distinctiveness of West Partnership

Of the six Regional Improvement Collaboratives, the West Partnership serves the greatest number of children with 34% of Scotland's school population attending our schools. There are over 1000 nurseries, primary, secondary and special schools in the West Partnership, serving mainly urban but also many rural communities. As such, schools vary in size from some of the smallest in South Lanarkshire to some of the largest primary schools in East Renfrewshire and the largest secondary schools in Glasgow. This broad range as well as a fairly compact geography gives the West a high capacity for collaboration and learning.

Our schools deliver education to a diverse group of learners; children and young people living with deep-seated poverty, from a wide range of ethnic groups and those with some of the highest levels of affluence. Five of the partners are involved in the Scottish Attainment Challenge (SAC), a sixth has Attainment Challenge schools and almost all schools in the region have an allocation of Pupil Equity Funding (PEF). We recognise that as a partnership we can make the greatest difference for many children, young people, families and communities and the teaching profession through working with our partners in Higher and Further Education. We also recognise that we can contribute hugely to the national agenda of achieving Excellence and Equity for all learners.

The West is starting from a position of strength. An analysis of key measures linked to attainment and attendance was undertaken in 2017/18 to establish baselines for key measures. This analysis indicated that the West is outperforming expectations:

- Performance better than national average in:
 - Broad General Education (CfE teacher judgements)
 - Senior Phase
 - Exclusions
- Closing Gap in School Leaver Destinations

At the time of publication, three of the SAC authorities have undergone recent inspections, identifying many strengths in their approaches to improving learning and teaching and raising attainment. There is much to learn from each other and a willingness to do so through collaborative activities.

Development of Phase 2 Plan

The first improvement plan focused on three main themes: Improvement; Learner Journey and Early Learning and Childcare. Through an extensive consultation process with Head Teachers from across the region, we asked how these and the supporting activities reflected their own priorities: 85% agreed we were focusing on the right areas. As a Partnership we have engaged with stakeholders to identify priorities going forward and our Phase 2 plan reflects their feedback on the support they need to bring about further improvement in their schools, classrooms and playrooms.

The evaluation of our Phase 1 plan identified many strengths and suggestions for consideration for Phase 2. Appendix 1 details the specific actions which have been taken to consider and address the evaluation of the Phase 1 Plan as we have devised Phase 2. We have also reflected on the advice and recommendations in the first report from the International Council of Education Advisers, in particular how we can collaborate to deliver a school and teacher-led education system designed to empower the workforce, parents, pupils and communities and enhance local authorities' duties to bring about improvement in all schools for all learners.

Notably, through consultation with stakeholders, a decision has been taken to reconfigure the themes, absorbing Early Learning and Childcare (ELC) into the other priority areas and removing it as a standalone theme. ELC remains central to the delivery of improved educational outcomes across the West Partnership and it is through integration within the wider work of the Partnership that we will be able to deliver for all of our learners in a much more joined up, cohesive way. It is envisaged that senior ELC officers from each member authority will continue to network to collaborate across the region as part of the wider work of the West Partnership.



Vision and Purpose

Since publishing the Phase 1 Improvement Plan, the West Partnership Board has developed its purpose and vision. Equity, Excellence and Empowerment encapsulates the culture we want to embed enhancing the work of each local authority to bring about further improvement in our schools and outcomes for our learners.

We will build on existing practice to build a learning system which will bring about Equity, Excellence and Empowerment. This system will promote a culture of learning and bring about improvement at all levels from the classroom to directorate. We will facilitate collaboration which will promote, allow and scaffold learning and challenge partners.

Our workstreams for 2018 – 2021 complement our vision with Equity, Excellence and Empowerment as the core purposes of our work. **These are outlined in our Plan on a Page and are detailed further in our workforce and action plan:**

- Collaborative learning networks
- Empowerment
- Curricular networks and networks of ASL schools
- · CLPL which will build learning networks
- Systems improvement
- Curriculum design
- Leadership and succession planning
- Families and Communities

Plan on a Page

Vision

Equity	Excellence		Empowerment	
Themes				
Improvement		ment Learner Journey		
Sub-themes				
Leadership		Learning		

From 2018-19 the West Partnership will build a learning system through:

- Building collaborative professional inquiry approaches in nurseries, schools and centre staff which will enhance leadership capacity, improve learning and teaching and promote empowerment, excellence and equity.
- Working in partnership to advance empowerment at all levels across the region to improve outcomes for children and young people.
- Establishing curricular networks and networks of ASL schools to bring about improvement in attainment and pedagogy; consistency in teacher judgement and to share best practice.
- Designing and delivering a series of conferences / CLPL events over 3 – 4 years for senior and middle managers and class/playroom practitioners.

- Identify and share best practices in system leadership and support each partner to undertake peer review and challenge.
- Working with partners, including colleges, to consider curriculum design to extend the range of learner pathways on offer, particularly in the senior phase.
- Collaborating to improve succession planning of senior leaders in schools and nurseries.
- Advancing equity and excellence in schools and nurseries through capacity building in families and communities.



Critical Indicators

The work of the West Partnership is to build a learning system; this will involve learning and improvement at school and authority level. This will enhance the work carried out by individual schools and local authorities. The high level indicators set out below are key measures which will allow the Partnership to report on the impact of its work with schools although we recognise that improvements will be due to a variety of factors of which the work of the West Partnership will be one aspect. Some of the key indicators will be broken down by SIMD quintiles and in the future by different groups of learners, such as ethnicity, to analyse, measure and report on how well particular groups are performing and indicate the need for a change in priorities. Further explanation of the critical indicators and targets is included in the section Evaluating the Impact of the Plan.

Indicators	2016-17	2018-19	2019-20	2020-21	2020-21
	Baseline	Value	Value	Value	Target
% of primary pupils (P1, P4 and P7 combined) achieving expected levels or better in literacy (based on reading, writing and talking and listening)	71				78
% of primary pupils (P1, P4 and P7 combined) achieving expected levels or better in numeracy	78				85
% of S3 pupils achieving third level or better in literacy (based on reading, writing and talking and listening)	90				91
% of S3 pupils achieving third level or better in numeracy	90				91
% of S3 pupils achieving fourth level or better in literacy (based on reading, writing and talking and listening)	47				55
% of S3 pupils achieving fourth level or better in numeracy	57				63
% of leavers achieving 1 or more awards at SCQF Level 6 or better	63.8				74
% of leavers achieving 5 or more awards at SCQF Level 6 or better	31.8				35
% of leavers achieving SCQF Level 5 or better in literacy	81.3				86
% of leavers achieving SCQF Level 5 or better in numeracy	69.1				76
HWB indicators (baseline to be established in August 2019 when SG census has been designed)	ТВС				
Number of Practitioners accessing professional learning opportunities provided by the West Partnership	ТВС				
Mean change in staff knowledge, understanding and confidence as a result of the professional learning	ТВС				
% of establishments evaluated as good or better for leadership of change	59	63			75
Primary Exclusion Rates (Rate per 1000 pupils)	9.9				6.5
Secondary Exclusion Rates (Rate per 1000 pupils)	46.8				45
Primary Attendance Rates	94.6				95
Secondary Attendance Rates	90.7				92
Initial School Leaver Destinations	93.6				95
% of establishments evaluated as good or better for learning, teaching and assessment	57	66			75
Proportion of West Partnership Accredited applicants for schools senior leadership posts	ТВС				



Governance

The West Partnership remains committed to ensuring there is a strong local democratic oversight of our work and our role in delivering an enhancement to the work currently being undertaken by each of our member authorities.

The West Partnership is overseen by the Glasgow City Region Education Committee, made up of the Education Conveners (or appropriate substitute) from each member authority, as follows:

- East Dunbartonshire Council
 - Cllr Jim Goodall
- East Renfrewshire Council
 Cllr Paul O'Kane
- Glasgow City Council
 - Cllr Chris Cunningham
- Inverclyde Council
 - Cllr Jim Clocherty
- North Lanarkshire Council
 Cllr Frank McNally
- Renfrewshire Council
 Cllr Jim Paterson (Convener)
- South Lanarkshire Council
 - Cllr Katy Loudon
- West Dunbartonshire Council
 - Cllr Karen Conaghan

It is the role of the Committee to consider and endorse the Improvement Plan and receive and scrutinise reports on the progress of the plan and its impact on stakeholders. The Terms of Reference for the Committee provide the opportunity for regular reports to be submitted to the City Region Cabinet, providing further oversight of activity.

The Committee is chaired by the Convener, Cllr Jim Paterson, elected by the eight members. The Convener represents the Committee when reporting to the Glasgow City Region Cabinet as required. It meets on a quarterly basis, as agreed by the Committee and this will remain under review for the coming year. An annual calendar of meetings has been prepared and circulated to all members.

The Improvement Plan, and associated actions, will also be overseen through the existing governance arrangements of each member authority, maintaining local democratic accountability for the delivery of education to each community and council area. This approach also enables the continuation of existing strong partnership working in each council area for children's services to contribute to the wellbeing of children and families and, in turn, drive improvement.

Given the development of a number of individual workstreams, it is necessary to establish further governance arrangements for the effective operation of the West Partnership.

It is proposed that each individual workstream lead / co-lead will report to the West Partnership Board, made up of the eight individual Directors/ Chief Education Officers of each member authority. These regular reports will ensure that a consistent, complementary approach is delivered through each workstream, supported by Education Scotland, and contributing to the successful delivery of improved education outcomes across the region.

It will be for each Education Convener, in discussion with their Director/Chief Education Officer to report, as appropriate, to their own local democratic structures on the impact of the West Partnership's activities on their own stakeholders.

Future Plan Development

The West Partnership took advantage of nationally organised PEF events to gather the views of Head Teachers on the priorities and activities set out in the Phase 1 plan. We also gathered views of Head Teacher groups on priorities for input at practitioner conferences and in June 2018 surveyed staff to seek views on the needs of class and play room practitioners. All of these views have been considered when devising our Phase 2 Plan.

We continue to be committed to ensuring that stakeholders from across the region can contribute to the development of the work of the Partnership and, in particular, the ongoing development of the Improvement Plan. Furthermore, we are committed to engaging with schools across the region, ensuring coherence between the improvement plans of individual schools and the Regional Improvement Plan as set out in this document, each reflecting the annually updated National Improvement Framework and Improvement Plan.

The West Partnership represents a substantial proportion of the Scottish population, significantly larger than comparator Regional Improvement Collaboratives with over 1000 schools and ELC settings. Given the consultation undertaken throughout our first year in seeking to set up the work of the Partnership it has not been possible to rigidly reflect the timeline outlined below. However, the Evaluation and Reporting Steering Group will look to put a system in place during 2018-19 to ensure the views of stakeholders are systematically gathered on an annual basis, being informed by and complementing their own individual School Improvement Plans which in turn will reflect the priorities and drivers as set out in the National Improvement Framework.

Period	Action	Outcome
December	Publication of the Scottish Government's annual National Improvement Framework	Informs the development of individual local authority improvement plans (LIP) and school improvement plans (SIP) within each of the 8 partner authorities
Jan – March School Term	Individual local authorities and schools develop improvement planning priorities taking account of the NIF and associated improvement plan	School improvement planning priorities feed into the West Partnership Improvement Plan, complementing LIPs.
April – Summer School Term	The West Partnership develops/ updates an Improvement Plan, in preparation for the coming school year	The West Partnership Improvement Plan endorsed by the August/ September meeting of the Regional Education Committee and submitted to Education Scotland.

The following process has been identified for future plan development and revision:

In addition, the Partnership has engaged with additional stakeholders from across the education sector, including HE and FE establishments, Trade Unions, Education Scotland and the Care Inspectorate, and will continue to do so as pertinent to the planning priorities and the needs of schools.



Evaluating the Impact of the Improvement Plan

As can be seen in the next section of the plan, the Partnership has established an Evaluation and Reporting Steering Group with a remit to: Be the focal point for evaluating, measuring and reporting on the impact of the West Partnership's Improvement Plan. This group is crucial to the work of the Partnership, supporting it to evaluate its activities and use evidence to identify future priorities. The group will oversee and be the receptacle for evaluations of all activities related to the West Partnership and will devise tools to ensure such are consistently evaluated and their impact measured.

Baselines and Targets

The group has put in place a data sharing agreement amongst the eight partners and devised the Critical Indicators, baselines and targets as set out in page 8 of the plan as key measures. The group, supported by our Education Scotland Regional Adviser, has established baselines based on an analysis of available performance data and used trend data, where available, to put targets in place. Where trend data has not been readily available, such as CfE Teacher Judgements, targets are of an experimental nature and as such will be kept under review. These targets will be used to report on the progress of the plan and its impact on the key measures.

Independent Evaluation and Review

We seek to deploy a Policy Scotland Research and Knowledge Exchange Officer from Glasgow University to support the work of each workstream by pointing staff in the direction of the most up-to-date evidence, knowledge and expertise in local authorities, regionally, nationally and internationally, supporting the development of our learning system. This resource would also offer independent evaluations on the impact of new practices developing within class and playrooms, schools and local authorities.

Our Education Scotland Regional Adviser will continue to provide support and challenge to the West Partnership Board and workstream steering groups and will gather evidence to provide an independent view of the impact of the Partnership's work to the Chief Executive and Chief Inspector of Education Scotland. The Regional Adviser will also review the Phase 2 plan in partnership with the Regional Lead Officer (RLO), and a Regional Adviser and RLO from other Regional Improvement Collaboratives through a peer review approach.

The Scottish Government has indicated it will commission research to evaluate the establishment of the Regional Improvement Collaboratives initially, and in 12 – 18 months, for impact on stakeholders. When published these reports may include recommendations which will be taken into account in any future West Partnership plans.

Action and Workforce Plan

The action plan which follows indicates the work which will be taken forward over the next two – three years and key personnel from local authorities and Education Scotland, who will lead and support each workstream.

1. Collaborative Learning Networks

Work Stream Lead	Steering Group Participants
Mhairi Shaw East Renfrewshire Council	Greg Bremner, East Dunbartonshire Council Janice Collins, East Renfrewshire Council Donnie MacLeod, Glasgow City Council Mairi McFarlane, Inverclyde Council Nancy Ferguson, North Lanarkshire Council Laura McAllister, Renfrewshire Council Jacqueline Wallace, South Lanarkshire Council Helen Brown, West Dunbartonshire Council Education Scotland
Remit: To enhance existing collaborative school-based networks to achieve excellence and equity which will build professional empowerment, responsibility and ownership, improve leadership capacity, learning and teaching and lead to improved outcomes for learners.	 Action: Map collaborative school based networks to identify current activity and expertise. Devise key characteristics, principles, shared protocols, structures and tools which will define collaborative working in the West Partnership. Offer opportunities / issue invitations for schools to be more outward looking through widening networks across and between local authorities. Specific collaborative approaches may include: Leadership and Learning Rounds; Improvement Science; Lesson Study, Collaborative Action Research; etc. Support schools to utilise different types of evidence, knowledge and expertise from local authority, Education Scotland and University to develop and share and evidence the impact of new practices. Provide strategic direction for the partnership to build a culture and the capacity for inter-authority partnership and collaboration through the steering group and external challenge and support. Liaise with CLPL group to deliver events to share learning and best practice. Develop systematic mechanisms to support and monitor impact of the activity.
Additional Resources / Support Required	Policy Scotland Research and Knowledge Exchange Officer



2. Empowerment

Work Stream Lead	Steering Group Participants
Isabelle Boyd North Lanarkshire Council	Gordon Moulsdale, East Dunbartonshire Council Siobhan McColgan, East Renfrewshire Council Maureen McKenna, Glasgow City Council Alison McLellan, Inverclyde Council Anne Munro, North Lanarkshire Council Gordon McKinlay, Renfrewshire Council Vicky Quinn, South Lanarkshire Council Laura Mason, West Dunbartonshire Council Education Scotland
Remit: West Partnership affirms that "empowered, responsible and collaborative schools are an important part of our shared vision for education". During 2018 – 19 consider recent documents including: joint agreement between ADES, COSLA, Education Scotland, Scottish Government and SOLACE; International Advisory Group and Education Scotland Framework on Empowerment to develop a shared understanding of what an empowered system looks and feels like and work towards consistency across the West Partnership.	 Action: 1. Ensure clarity of purpose and vision "Set out an explicit theory of change that underpins and supports the current strategies and approaches to educational improvement, which will help to identify the conditions that need to be in place for the aims of the educational improvement programme to be achieved." International Council of Education Advisers, June 2018 Develop a shared understanding of what we mean by system leadership and the context for system change Develop a shared understanding of what an empowered system looks and feels like. 2. In partnership with Education Scotland, using HGIOS4 (1.3) and driven by a strong evidence base, provide clarity and consistency through the development of a West Partnership toolkit which will support self-evaluation and peer review of empowerment at school, local authority and regional level. 3. Based on our shared understanding of what an empowered system looks and feels like, co-produce a detailed implementation/action plan which will help create an empowered, self-improving system underpinned by "professional empowerment, responsibility, and ownership" at school, local authority and West Partnership level. 4. Liaise with other workstreams e.g. CLPL and Systems Improvement to ensure consistency and support.
Additional Resources / Support Required	10 days input from Robert Owen Centre (GU) and Education Scotland.

3. CLPL

Work Stream Lead	Steering Group Participants
Steven Quinn Renfrewshire Council Jacqui MacDonald East Dunbartonshire Council	Elspeth Smith, East Dunbartonshire Council David Gordon, East Renfrewshire Council Donnie MacLeod, Glasgow City Council Mairi McFarlane, Inverclyde Council Robert Smith, North Lanarkshire Council Gerry Carlton, Renfrewshire Council Eunice Young, South Lanarkshire Council Linda Booth, West Dunbartonshire Council Education Scotland
Remit: To support the development of learning networks by facilitating collaborative events and professional development opportunities.	 Action: The workstream will support the development of a learning system by: offering opportunity at all levels to enhance learning networks across the partnership; working with all workstreams to support the wider partnership agenda through appropriate CLPL; using evidence from stakeholder engagement to enhance existing professional development opportunities; and using feedback from Education Scotland analysis of inspections to inform needs/priorities of practitioners. The workstream will: facilitate the delivery of 'major conferences' with a focus on empowering schools to work collaboratively on areas of strength and challenge; facilitate and support smaller conferences and seminars on agreed strengths/challenges resulting from learning networks that are established; develop practice that will ensure all education establishments are fully aware of and have opportunity to access professional learning on offer; work in partnership with Education Scotland and other partners to deliver high quality professional development opportunities that enhance existing provision.



Work Stream Lead	Steering Group Participants
Additional Resources / Support Required	 Administrative/Officer Support 0.5FTE £20k Coordinate CLPL opportunities across the partnership Liaise with Education Scotland and academic partners to support learning through events Organisation of events, conferences, seminars etc. Coordinate requirements across all workstreams to ensure collective impact Facilitate and support learning networks created across the partnership
	 IT Support (purchased as required from existing authority) £20k Investigate IT solutions that improve access to professional development for all staff across the partnership Support events, conferences and seminars Video events and upload to appropriate platforms Input required from Education Scotland re procurement

4. Curricular / Specialist Networks

Work Stream Lead	Steering Group Participants
Tony McDaid South Lanarkshire Council	Kit Gilbert, East Dunbartonshire Council Willie Inglis, East Renfrewshire Council Gerry Lyons, Glasgow City Council Liz Varrie, Inverclyde Council David Craig, North Lanarkshire Council Lisa Chalmers, Renfrewshire Council Jill Pringle, South Lanarkshire Council David Byars, West Dunbartonshire Council Education Scotland
Remit: As part of the West Partnership approach to the development of Learning Networks, establish self-sustaining subject networks for secondary schools to bring about improvement in pedagogy, assessment and attainment	 Action: Audit current practices across the region in supporting secondary subject specialists. Establish a self-sustaining network to support collaboration in the ASN sector. Agree function of regional subject networks, regularity and format of forums. Identify teacher leaders for each curriculum subject area across the region. Liaise with CLPL and Evaluating and Reporting Groups as appropriate.
Additional Resources / Support Required	Time for leads in each subject Lead for ASN network



5. Curriculum Design

Work Stream Lead	Steering Group Participants
Tony McDaid South Lanarkshire Council	Kit Gilbert, East Dunbartonshire Council Kathleen McGuire, East Renfrewshire Council Gerry Lyons, Glasgow City Council Stephen Parsons, Inverclyde Council Lizanne McMurrich, North Lanarkshire Council Lisa Chalmers, Renfrewshire Council Stewart Nicholson, South Lanarkshire Council Susan Gray, West Dunbartonshire Council Education Scotland
Remit: In partnership with key stakeholders support schools and ELC settings across the region to ensure they are designing and delivering a curriculum which has a range of pathways, will meet the needs of all children and young people and lead to improved outcomes.	 Action: Continue to offer CLPL opportunities for school leaders and class teachers which will improve assessment and moderation and bring about consistency in teacher judgements across the region. Audit the range of courses available in the Senior Phase across the region and work with DYW and FE partners to improve the range of curricular pathways for young people. Through sharing practice and developing professional learning networks, support schools and ELC settings to ensure there is appropriate progression for learners, particularly at key transition stages Continue to support schools and ELC settings to address the recommendations of Making Maths Count, with a focus on improving confidence and attitudes to numeracy and mathematics. Progress the recommendations from A Blueprint for Fairness from the Widening Access Commission.
Additional Resources / Support Required	EDO for assessment and moderation

6. Leadership – Succession Planning

Work Stream Lead	Steering Group Participants
Laura Mason , West Dunbartonshire Council	George Cooper, East Dunbartonshire Council Tracy Morton, East Renfrewshire Council Jim Wilson, Glasgow City Council Alison McLellan, Inverclyde Council Gerard McLaughlin, North Lanarkshire Council Julie Colquhoun, Renfrewshire Council Angie Sneddon, South Lanarkshire Council Paul Darroch, West Dunbartonshire Council Education Scotland
Remit: Devise a system which will improve recruitment of school senior managers across the region. Create a pool of West Accredited applicants for improved succession planning across the RIC.	 Action: 1. Liaise with Scottish Government, Education Scotland (SCEL) and SCES. 2. Gather and analyse data on HT and DHT recruitment and retention within each local authority over the past 3 – 5 years. Take account of demographics, including denominational elements. 3. Audit practices within constituent local authorities in succession planning and leadership development. Research practice beyond the West Partnership on a national / international basis. 4. Establish bespoke West solutions to include: Coaching/mentoring/guiding Post exchanges Applied leadership learning 5. Devise a West Assessment Centre leading to a pool of credible candidates for school senior management positions. 6. In 2019/20 pilot system and devise roll out plan. 7. From 2020/21 implement roll out plan.
Additional Resources / Support Required	Administrative Support; 20 days backfill of QIO



7. Systems Improvement

Work Stream Lead	Steering Group Participants
Maureen McKenna Glasgow City Council	Greg Bremner, East Dunbartonshire Council Mark Ratter, East Renfrewshire Council Jim Wilson, Glasgow City Council Elsa Hamilton, Inverclyde Council Derek Brown, North Lanarkshire Council Mairi Thomas, Renfrewshire Council Carole McKenzie, South Lanarkshire Council Julie McGrogan, West Dunbartonshire Council Alistair Brown, Education Scotland
Remit: To identify, share and develop best practices in quality improvement across the West Partnership.	 Action: Audit existing policies and practices in each constituent local authority to identify best practice in quality improvement. Share findings with senior leaders across the region. Consider developing learning partnerships at system level as appropriate. Devise programme of opportunities to develop practices in self-evaluation, quality assurance, support and challenge at senior leadership level in schools and officer level in each local authority which will lead to continuous improvement and consistency in evaluating education delivery and outcomes. Consider nationally co-produced guidance to devise a system which will enable each partner to undertake peer to peer review and challenge. Monitor impact through internal and external reviews and inspections.
Additional Resources / Support Required	Administrative Support

8. Families and Communities

Work Stream Lead	Steering Group Participants
Ruth Binks Inverclyde Council	Alison Brien, East Dunbartonshire Council Rosamund Rodriguez, East Renfrewshire Council Donnie MacLeod, Glasgow City Council Norman Greenshields, Inverclyde Council Judi Pollok, North Lanarkshire Council Maureen Sneddon, Renfrewshire Council Robert Hynd, South Lanarkshire Council Claire Cusick, West Dunbartonshire Council Education Scotland
Remit: To identify, share and develop best practices in addressing inequity through family learning community capacity building and parental engagement.	 Action: 1. Audit existing interventions in family learning, community capacity building and parental engagement across the region, which support families living with poverty / inequality of outcomes. 2. Work with Education Scotland to identify best practices in family learning and parental and community engagement. 3. Devise programme of opportunities to share and develop practices in family learning and parental / community engagement. 4. Work with NPFS representatives across the region to devise a series of conferences focused on developing family / community learning and parental engagement. 5. Share best practice for Parental Engagement Strategies and work jointly to take forward the Scottish Government Action Plan on Parental Involvement and Engagement.
Additional Resources / Support Required	Support from Education Scotland for Development Officer time.



9. Evaluating and Reporting

Work Stream Lead	Steering Group Participants
Ruth Binks Inverclyde Council	Jan Pollok, East Dunbartonshire Council Mark Ratter, East Renfrewshire Council Michele McClung, Glasgow City Council Neil Campbell, Inverclyde Council James Foley, North Lanarkshire Council Fiona Wright, Renfrewshire Council Stewart Nicolson, South Lanarkshire Council Andrew Brown, West Dunbartonshire Council Alistair Brown, Education Scotland
Remit: Be the focal point for evaluating, measuring and reporting on the impact of the West Partnership's Improvement Plan.	 Action: Agree critical indicators to measure impact of the partnership's improvement plan. Agree baselines and targets for identified critical indicators. Establish systems for gathering evidence and data of the impact of the activities offered in the West Partnership. Devise tools which will be used consistently across the partnership to evaluate the impact of activities. Liaise with all other groups to ensure they are informed of the evaluations of their activities to support self-evaluation, reflection and consideration of future plans and activities.
Additional Resources / Support Required	Support from the Scottish Government's Learning Directorate Statistical Unit is required to support the group's work in analysing data on a regional basis. Allocation of 1.0 data officer to set up systems for the collation, ongoing analysis and evaluation of data for reporting purposes. Policy Scotland Research and Knowledge Exchange Officer

Appendix 1

West Partnership Phase 1 Plan (Jan 2018)

Phase 2 Plan (Sept 2018)

	Recommendations and areas for consideration	Actions
1.	In developing its plan further, and, in line with advice provided in Regional Improvement Collaboratives: Guidance on Developing Regional Improvement Plans, paragraph 8, the Partnership needs to indicate more explicitly how it "intends to reduce inequalities (and) target improvement in the four national priorities from the NIF".	The Phase 2 Plan Workstream 'Collaborative Learning Networks' has a clear focus on excellence and equity. Approaches to improving outcomes in literacy, numeracy and HWB and closing the attainment gap will arise naturally from the work of many workstreams but in particular Workstreams 1, 3, 4, 5, 7 and 8. Improvement in Employability skills and sustained positive school-leaver destinations will come under Workstream 5. Critical indicators include key measures linked directly to the actions in the Phase 2 plan and the NIF.
2.	The partnership should also develop further how it will "share and learn from best practice in raising attainment across all eight member authorities." (Paragraph 2.5)	Workstreams 1, 3, 5 and 7 will offer opportunities to identify and share best practices in raising attainment
3.	In developing its plan further, the Partnership should outline its initial rationale for identifying its three priorities for improvement.	Ref. sections on Development of Phase 2 Plan and Vision and Purpose on pages 2 – 3 of Phase 2 Plan
4.	In developing the next phase of its plan, the Partnership should provide more information about how it has engaged with its stakeholders.	Ref. Development of Phase 2 Plan and Future Plan Development pages 6 and 12
5.	The Partnership recognises (para 6.3) that as a future development, "It is envisaged that future Improvement Plans, and revisions to the existing Plan, (GCREIC) will consult with the schools across the region on an on-going basis, being informed by and complementing their own individual Improvement Plans which will reflect the priorities and drivers as set out in the National Improvement Framework."	Ref. Future Plan Development page 12

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	Recommendations and areas for consideration	Actions
6.	There is a considerable overlap between the key measures identified in the National Improvement Plan and the critical indicators in the West Partnership's plan. There is scope for a further alignment of the two, for example, by ensuring that appropriate attention is given to the consideration of critical indicators related to health and wellbeing.	Ref. Critical Indicators page 10
7.	Partnership is working to provide baseline data against which future performance can be measured. The Partnership is committed to ensuring that robust procedures are in place for tracking and monitoring – building on where strong practice already exists at authority level – to measure progress.	Ref. Critical Indicators page 10 and Evaluating and Reporting workstream page 23
8.	Along with all other Regional Improvement Collaboratives, it will be important for the West Partnership to build on the strong start which it has made in ensuring that suitable data sharing agreements are agreed and established, within the Partnership and also with national partners.	A data sharing agreement has been devised and approved by each local authority. The template has also been shared with all other RLOs. We continue to engage with Scottish Government and Education Scotland to consider the need for data to be shared and presented on a regional basis from these national bodies.
9.	The Partnership is working to develop and implement an equitable cost sharing mechanism as it moves towards utilising the considerable expertise and experience which is available across the eight authorities.	The Action and Workforce Plan indicates the leadership and participants in each workstream. A cost sharing agreement has been developed and agreed.
10.	As it develops its plan further, the Partnership will also be able to provide more detail regarding workforce supply and demands and to ensure that requests for additional funding from SG are carefully constructed and costed.	As above the Action and Workforce Plan indicates the need for support and additional resources linked directly to the plan.
11.	The Partnership acknowledges too that there is scope to ensure that all stakeholders and partners develop a suitable level of awareness of the Partnership and of the opportunities which it will bring and how these might be accessed. The Partnership is committed to ensuring that phase 2 of its plan results from extensive consultations with stakeholders.	See # 4. above and Workstream 3 CLPL. In addition we continue to develop a communications strategy to ensure a wider reach to stakeholders.

	Recommendations and areas for consideration	Actions
12.	The Partnership has recognised that it needs to identify appropriate mechanisms through which schools and staff can access support and anticipates the joint development of a solution involving digital technology.	As above
13.	As it develops its high-level, phase one plan further, the Partnership should provide more detail about activities which it will undertake, including, outlining timelines and identifying responsibilities clearly.	Ref. Action and Workforce Plan
14.	 As the Partnership moves on to phase two of its plan it should continue to liaise with Education Scotland's Regional Advisor, and, in common with the other Regional Improvement Collaboratives, due consideration should be given to: setting out what collaboration means for the workforce of the West Partnership and how it may become a way of working for all colleagues; demonstrating how the West Partnership plans to use collaboration to enhance the current way of working; and outlining in what ways collaboration will motivate and inspire staff across the West Partnership to improve further outcomes for children and young people. 	Ref. Vision and Purpose page 7 and Action and Workforce Plan pages 14-23.

